

## A simplicial model for infinity properads

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### Abstract

We show how the model structure on the category of simplicially-enriched (colored) props induces a model structure on the category of simplicially-enriched (colored) properads. A similar result holds for dioperads.

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This short note is an important component in an ongoing project to understand ‘up-to-homotopy’ prop(erad)s. Props, properads, and dioperads are devices like operads, but which are capable of controlling bialgebraic structures. The notion of prop originated in the work of Adams and MacLane [18], while properads were introduced much later by Vallette [24]. Some of the best known properads include those that govern Lie bialgebras and Frobenius algebras (see, for example, [19]).

Dioperads, like properads, are smaller versions of props defined by pasting schemes of graphs which are simply connected. A dioperad (which first appear in the thesis of Gan [7]; see also [23, 8]) describes an algebraic structure that has a multiplication and a comultiplication with relations which can be represented by simply connected graphs. As an illustrative example, one should note that a dioperad can describe the structure of a Lie bialgebra but not a bialgebra.

In [11] we construct a combinatorial model for objects like properads, but where the properadic structure only holds up to coherent higher homotopy. There, we present such ‘infinity properads’ as objects of the presheaf category  $\mathbf{Set}^{\Gamma^{op}}$  satisfying inner-horn filling conditions, where  $\Gamma$  is a certain category of graphs. The category  $\Gamma$  is an extension of both the simplicial

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category  $\Delta$  and the Moerdijk-Weiss dendroidal category  $\Omega$  [20], and our definition of infinity properads is analogous to that of quasi-categories [16] (or infinity categories [17]) and dendroidal inner Kan complexes [21]. In a future paper we will prove the existence of a Quillen model structure on the category of graphical sets  $\mathbf{Set}^{\Gamma^{op}}$  so that the fibrant objects are precisely the infinity properads; antecedents to this structure are the Joyal model structure on simplicial sets  $\mathbf{Set}^{\Delta^{op}}$  [16, 17] and the Cisinski-Moerdijk model structure on dendroidal sets  $\mathbf{Set}^{\Omega^{op}}$  [3].

In the present work, we study (small) *simplicially-enriched properads*, which we expect to be the rigid model for infinity properads, much as simplicially-enriched categories [1] give a model for infinity(-one) categories and simplicially-enriched operads give a model for infinity operads [5]. Namely, in [10] we will present a functor, called the ‘homotopy coherent nerve’

$$N_{hc} : \mathbf{sProperad} \rightarrow \mathbf{Set}^{\Gamma^{op}}$$

which we anticipate, in analogy with the corresponding result in the categorical setting [15, 17], will be the right adjoint in a Quillen-equivalence of model categories.<sup>1</sup> For such a theorem to even be stated, we of course require a model structure on  $\mathbf{sProperad}$ , the category of small simplicially-enriched properads (henceforth called ‘simplicial properads’).

Given a simplicial prop, properad, or dioperad  $\mathcal{P}$ , we can look at its underlying simplicial category by discarding all  $\mathcal{P}\left(\frac{d}{c}\right)$  with  $|c| \neq 1 \neq |d|$ . Further, given a simplicial category  $\mathcal{C}$ , we can get a discrete category of components  $\pi_0\mathcal{C}$  by setting  $\text{Ob } \pi_0\mathcal{C} = \text{Ob } \mathcal{C}$  and  $(\pi_0\mathcal{C})(a, b) = \pi_0(\mathcal{C}(a, b))$ . For concision, we will just write  $\pi_0$  for any of the composites

$$\begin{array}{ccc} \mathbf{sProp} & & \\ \text{forget} \downarrow & \searrow & \\ \mathbf{sProperad} & \longrightarrow & \mathbf{sCat} \xrightarrow{\pi_0} \mathbf{Cat} \\ \text{forget} \downarrow & \swarrow & \\ \mathbf{sDioperad} & & \end{array}$$

from one of the categories on the left into  $\mathbf{Cat}$ .

**Definition A.** Let  $f : \mathcal{P} \rightarrow \mathcal{Q}$  be a morphism of simplicial props, properads, or dioperads. We say that  $f$  is a *weak equivalence* if

(W1) for each input-output profile  $\left(\frac{b}{a}\right)$  in  $\text{Col}(\mathcal{P})$  (that is, pair of lists of colors of  $\mathcal{P}$ ) the morphism

$$f : \mathcal{P}\left(\frac{b}{a}\right) \longrightarrow \mathcal{Q}\left(\frac{fb}{fa}\right)$$

is a weak homotopy equivalence of simplicial sets; and

(W2) the functor  $\pi_0 f : \pi_0\mathcal{P} \rightarrow \pi_0\mathcal{Q}$  is an equivalence of categories.

We say that the morphism  $f$  is a *fibration* if

(F1) for each input-output profile  $\left(\frac{b}{a}\right)$  in  $\text{Col}(\mathcal{P})$  the morphism

$$f : \mathcal{P}\left(\frac{b}{a}\right) \longrightarrow \mathcal{Q}\left(\frac{fb}{fa}\right)$$

is a Kan fibration of simplicial sets; and

<sup>1</sup>This would also provide an alternate proof of the equivalence between the category of simplicial operads and that of dendroidal sets, which appears in [3, 5, 4].

(F2) the functor  $\pi_0 f : \pi_0 \mathcal{P} \rightarrow \pi_0 \mathcal{Q}$  is an isofibration.<sup>2</sup>

The main theorem of [1] states that  $\mathbf{sCat}$  admits a model structure<sup>3</sup> so that a map  $f : \mathcal{C} \rightarrow \mathcal{D}$  is a weak equivalence (respectively, fibration) if and only if it is locally one (that is,  $f : \mathcal{C}(a, b) \rightarrow \mathcal{D}(fa, fb)$  is one for all  $a, b \in \text{Ob}(\mathcal{C})$ ) and if  $\pi_0 f$  is an equivalence of categories (respectively, isofibration).

**Main Theorem.** *The category of simplicial properads and the category of simplicial dioperads admit model structures with the weak equivalences and fibrations from Definition A.*

We should first point out that these model structures cannot be lifted from the model structure on simplicial operads [5], as the conditions (W1), (F1) in Definition A would only be relevant when  $|b| = 1$ .

It is possible to prove the main theorem (at least in the case of simplicial properads) by imitating the proofs in [9]. This has the benefit that it requires no new ideas, but this approach is both technically difficult and tedious. The approach we take in this paper rests on Proposition 1.5, which we find novel and interesting in its own right. We are aware of only two precursors in the literature. The first is the way that Hovey restricts the model structure on all topological spaces to the coreflective subcategory of Kelley spaces [12, 2.4.23], while the second is Corollary 1.7, which was originally due to Intermont and Johnson in their study of ex-spaces [13, Lemma 8.8]. Proposition 1.5 allows us to *apply* the results<sup>4</sup> of the first two authors [9] to obtain the desired model structure on  $\mathbf{sProperad}$ .

In the next section, we recall a few ideas from the theory of Quillen model categories. Proposition 1.5 seems to be new, and is a primary technical tool in the proof of the main theorem of the paper. In section 2, we will recall some notation and definitions about graphs and generalized props, most of which can be found in [25]. Sections 3 and 4 together show that most of the hypotheses of Proposition 1.5 hold. Section 3 is about the structure of local equivalences in our categories of generalized props, and does not deal with any adjunctions. Section 4 illustrates quite nicely why the main theorem of this paper is *not* formal – we really depend on some internal structures in our objects of interest. Finally, in section 5, we actually apply Proposition 1.5 to prove the main theorem.

## 1. Cofibrantly generated model categories

Let  $\mathbf{M}$  be a category and  $\mathbf{M}^{[1]}$  its category of arrows. We now borrow some notation from [22]. If  $i : A \rightarrow B, f : X \rightarrow Y$  are morphisms of  $\mathbf{M}$  (that is, objects of  $\mathbf{M}^{[1]}$ ), we write  $i \square f$  if the map

$$\text{hom}_{\mathbf{M}}(B, X) \rightarrow \text{hom}_{\mathbf{M}^{[1]}}(i, f)$$

$$g \mapsto \begin{pmatrix} A & \xrightarrow{g \circ i} & X \\ \downarrow i & & \downarrow f \\ B & \xrightarrow{f \circ g} & Y \end{pmatrix}$$

<sup>2</sup>A functor  $p : \mathcal{E} \rightarrow \mathcal{B}$  in  $\mathbf{Cat}$  is called an *isofibration* if for each isomorphism  $h : p(e) \rightarrow b$  in  $\mathcal{B}$ , there exists an isomorphism  $g : e \rightarrow e'$  in  $\mathcal{E}$  with  $p(g) = h$ .

<sup>3</sup>This model structure is cofibrantly generated. Sets of generating (acyclic) cofibrations are recalled in Definition 5.1.

<sup>4</sup>See also later results of Caviglia [2], who extended these model structures to enriching categories other than  $\mathbf{sSet}$ .

is surjective. In other words,  $i \lrcorner f$  if, for every commutative diagram

$$\begin{array}{ccc} A & \longrightarrow & X \\ \downarrow i & \dashrightarrow & \downarrow f \\ B & \longrightarrow & Y, \end{array}$$

a lift  $B \rightarrow X$  exists, making both triangles commute. If  $\mathcal{K}$  is a class of maps in  $\mathbf{M}$ , we write  $\mathcal{K}^\square$  for the collection of morphisms which have the right lifting property with respect to  $\mathcal{K}$ ; that is,  $\mathcal{K}^\square$  is the collection of  $h$  satisfying  $k \lrcorner h$  for all  $k \in \mathcal{K}$ . Similarly, we write  ${}^\square\mathcal{K}$  for the collection of all  $h$  so that  $h \lrcorner k$  for all  $k \in \mathcal{K}$ .

Suppose that  $\mathcal{K}$  is a class of maps in some category  $\mathbf{M}$ . A map  $f$  is a  $\mathcal{K}$ -cell complex, that is,  $f \in \mathcal{K}\text{-cell}$ , if it is a transfinite composition of pushouts of elements of  $\mathcal{K}$ .

**Lemma 1.1.** *If  $L: \mathbf{M} \rightarrow \mathbf{N}$  is a functor which preserves colimits and  $\mathcal{K}$  is a class of maps in  $\mathbf{M}$ , then  $L(\mathcal{K}\text{-cell}) \subset (L\mathcal{K})\text{-cell}$ .*  $\square$

If  $\mathcal{K}$  is a class of maps in  $\mathbf{N}$  and  $F: \mathbf{M} \rightarrow \mathbf{N}$  is any functor, we write  $F^{-1}(\mathcal{K})$  for the class consisting of all maps  $f$  so that  $F(f) \in \mathcal{K}$ .

**Lemma 1.2.** *Let*

$$L: \mathbf{M} \rightleftarrows \mathbf{N}: U$$

*be an adjoint pair of functors. If  $\mathcal{K}$  is a class of maps in  $\mathbf{M}$ , then*

$$U^{-1}(\mathcal{K}^\square) = (L\mathcal{K})^\square.$$

*Proof.* We have  $f: X \rightarrow Y \in U^{-1}(\mathcal{K}^\square)$  if and only if  $U(f) \in \mathcal{K}^\square$ . This is equivalent to the map

$$\begin{aligned} \text{hom}_{\mathbf{M}}(B, UX) &\rightarrow \text{hom}_{\mathbf{M}^{[1]}}(k, Uf) \\ g &\mapsto \left( \begin{array}{ccc} A & \xrightarrow{g \circ k} & UX \\ \downarrow k & & \downarrow Uf \\ B & \xrightarrow{Uf \circ g} & UY \end{array} \right) \end{aligned}$$

being surjective for all  $k \in \mathcal{K}$ . By adjointness (which extends to the level of arrow categories), this is equivalent to surjectivity of

$$\text{hom}_{\mathbf{N}}(LB, X) \rightarrow \text{hom}_{\mathbf{N}^{[1]}}(Lk, f)$$

for all  $k \in \mathcal{K}$ , i.e.,  $f \in (L\mathcal{K})^\square$ .  $\square$

Let  $\mathbf{M}$  be a cocomplete category and  $A \in \mathbf{M}$  an object. We say that  $A$  is *finite* if for every sequence  $X_0 \rightarrow X_1 \rightarrow \cdots \rightarrow X_n \rightarrow \cdots$  indexed by the natural numbers  $\mathbb{N}$ , the map

$$\text{colim}_i \mathbf{M}(A, X_i) \rightarrow \mathbf{M}(A, \text{colim}_i X_i)$$

is an isomorphism. There is a more general version of this, where one can speak of an object  $A$  being small relative to a class of maps  $\mathcal{K}$  in  $\mathbf{M}$  (see [12, 2.1.3]), but in our applications we only deal with finite objects, which are small relative to any class of maps in  $\mathbf{M}$ .

**Definition 1.3.** A model category  $\mathbf{M}$  is *cofibrantly generated* if there are sets  $I$  and  $J$  of maps such that

- The domains of  $I$  are small relative to  $I$ -cell;
- The domains of  $J$  are small relative to  $J$ -cell;
- The class of fibrations is  $J^\square$ ; and
- The class of acyclic fibrations is  $I^\square$ .

Such a cofibrantly generated model category has  ${}^\square(I^\square)$  as its class of cofibrations and  ${}^\square(J^\square)$  as its class of acyclic cofibrations.

Recall the following recognition theorem [12, 2.1.19] for cofibrantly generated model categories.

**Theorem 1.4.** *Let  $\mathbf{M}$  be a bicomplete category,  $\mathcal{W}$  a subcategory of  $\mathbf{M}$ , and  $I, J$  sets of maps of  $\mathbf{M}$ . Then there is a cofibrantly generated model category structure on  $\mathbf{M}$  with  $I$  as the set of generating cofibrations,  $J$  as the set of generating acyclic cofibrations, and  $\mathcal{W}$  as the subcategory of weak equivalences if and only if the following are satisfied:*

- (I) *The subcategory  $\mathcal{W}$  has the two out of three property and is closed under retracts.*
- (II) *The domains of  $I$  are small relative to  $I$ -cell.*
- (III) *The domains of  $J$  are small relative to  $J$ -cell.*
- (IV)  *$J\text{-cell} \subset \mathcal{W} \cap {}^\square(I^\square)$ .*
- (V)  *$I^\square \subset \mathcal{W} \cap J^\square$ .*
- (VI) *Either  $\mathcal{W} \cap {}^\square(I^\square) \subset {}^\square(J^\square)$  or  $\mathcal{W} \cap J^\square \subset I^\square$ .*

□

Notice that both parts of (1.4.VI) hold simultaneously in any cofibrantly generated model category  $\mathbf{M}$ .

Given a pair of adjunctions

$$\mathbf{M} \begin{array}{c} \xrightarrow{F_1} \\ \xleftarrow{U_1} \end{array} \mathbf{N} \begin{array}{c} \xrightarrow{F_2} \\ \xleftarrow{U_2} \end{array} \mathbf{P}$$

we shall call the adjunction  $(F_2, U_2)$  an *adjunction over  $\mathbf{M}$* .

**Proposition 1.5.** *Let  $\mathbf{M}, \mathbf{N}, \mathbf{P}$  be bicomplete categories and let  $\mathcal{L} \subset \mathbf{N}$  be a class of maps and  $I, J \subset \mathbf{N}$  be sets of maps. Suppose further that there is an adjunction  $(F_2, U_2)$  over  $\mathbf{M}$ :*

$$\begin{array}{ccccc} & & F_0 & & \\ & & \curvearrowright & & \\ \mathbf{M} & \begin{array}{c} \xrightarrow{F_1} \\ \xleftarrow{U_1} \end{array} & \mathbf{N} & \begin{array}{c} \xrightarrow{F_2} \\ \xleftarrow{U_2} \end{array} & \mathbf{P} \\ & & \curvearrowleft & & \\ & & U_0 & & \end{array}$$

Assume that the following hold.

- (A)  $\mathbf{M}$  admits the structure of a cofibrantly-generated model category with weak equivalences  $\mathcal{W}_{\mathbf{M}}$  and generating (acyclic) cofibrations  $I_0$  (resp.  $J_0$ ).
- (B)  $\mathbf{P}$  admits the structure of a cofibrantly-generated model category with weak equivalences  $\mathcal{W}_{\mathbf{P}}$  and generating (acyclic) cofibrations  $F_0I_0 \cup F_2I$  (resp.  $F_0J_0 \cup F_2J$ ).
- (C) The subcategory  $\mathcal{W}_{\mathbf{N}} = (U_1^{-1}\mathcal{W}_{\mathbf{M}}) \cap \mathcal{L}$  has the two out of three property and is closed under retracts.<sup>5</sup>
- (D) The domains of  $F_1I_0 \cup I$  are small relative to  $(F_1I_0 \cup I)$ -cell.

<sup>5</sup>Note that  $U_1^{-1}\mathcal{W}_{\mathbf{M}}$  automatically satisfies the two out of three property and is closed under retracts; thus it is sufficient (but not necessary) to show that  $\mathcal{L}$  satisfies two out of three and is closed under retracts. Indeed, in our applications of this theorem,  $\mathcal{L}$  will not satisfy two out of three.

(E) The domains of  $F_1J_0 \cup J$  are small relative to  $(F_1J_0 \cup J)$ -cell.

(F)  $I^\square = \mathcal{L} \cap J^\square$ .

(G)  $F_2^{-1}(\mathcal{W}_{\mathbf{P}}) \subset \mathcal{W}_{\mathbf{N}}$ .

Then  $\mathbf{N}$  admits the structure of a cofibrantly generated model category, with weak equivalences  $\mathcal{W}_{\mathbf{N}} = (U_1^{-1}\mathcal{W}_{\mathbf{M}}) \cap \mathcal{L}$ , generating cofibrations  $F_1I_0 \cup I$ , and generating acyclic cofibrations  $F_1J_0 \cup J$ .

*Proof.* We will apply Theorem 1.4.

We can simultaneously show that (1.4.V) and (1.4.VI) hold. We have

$$\begin{aligned} (F_1I_0)^\square &= U_1^{-1}(I_0^\square) \\ &= U_1^{-1}(\mathcal{W}_{\mathbf{M}} \cap J_0^\square) & \text{(A)} \\ &= U_1^{-1}(\mathcal{W}_{\mathbf{M}}) \cap U_1^{-1}(J_0^\square) \\ &= U_1^{-1}(\mathcal{W}_{\mathbf{M}}) \cap (F_1J_0)^\square & \text{Lemma 1.2} \end{aligned} \tag{1}$$

and thus

$$\begin{aligned} (F_1I_0 \cup I)^\square &= (F_1I_0)^\square \cap I^\square \\ &= U_1^{-1}(\mathcal{W}_{\mathbf{M}}) \cap (F_1J_0)^\square \cap \mathcal{L} \cap J^\square & \text{(1), (F)} \\ &= U_1^{-1}(\mathcal{W}_{\mathbf{M}}) \cap \mathcal{L} \cap (F_1J_0)^\square \cap J^\square \\ &= \mathcal{W}_{\mathbf{N}} \cap (F_1J_0 \cup J)^\square \end{aligned} \tag{2}$$

We now turn to (1.4.IV). For conciseness, write  $I' = F_1I_0 \cup I$ ,  $J' = F_1J_0 \cup J$ ; then  $F_2I' = F_0I_0 \cup F_2I$  and likewise for  $J$ . Suppose that  $f \in J'$ -cell. By Lemma 1.1,

$$F_2(f) \in (F_2J')\text{-cell} \stackrel{\text{(B)}}{\subset} \mathcal{W}_{\mathbf{P}} \cap \square((F_2I')^\square).$$

Thus, by (G),  $f \in \mathcal{W}_{\mathbf{N}}$ . Since  $I'^\square \stackrel{\text{(2)}}{\subset} J'^\square$ , we have  $\square(I'^\square) \supset \square(J'^\square)$  and of course  $J'$ -cell  $\subset \square(J'^\square)$ . This shows  $J'$ -cell  $\subset \square(I'^\square)$ , hence

$$(F_1J_0 \cup J)\text{-cell} \subset \mathcal{W}_{\mathbf{N}} \cap \square((F_1I_0 \cup I)^\square).$$

We have now established (1.4.IV)–(1.4.VI) of Theorem 1.4; conditions (1.4.I)–(1.4.III) were assumed (as C, D, E) to be true. Thus  $\mathbf{N}$  admits the desired cofibrantly generated model structure.  $\square$

*Remark 1.6.* Notice by [12, 2.1.20], that all adjunctions in this theorem statement are Quillen adjunctions.

The following is a baby version of the above proposition, which we include here for completeness rather than for any further use in this paper. It originally appeared as [13, Lemma 8.8], and we thank M. Johnson for alerting us to this fact.

**Corollary 1.7.** *Suppose that  $i : \mathbf{N} \subseteq \mathbf{P}$  is a coreflective full subcategory with  $\mathbf{N}$  and  $\mathbf{P}$  bicomplete. Assume that there are sets  $I, J \subset \mathbf{N}$  so that  $\mathbf{P}$  admits the structure of a cofibrantly generated model category with  $iI$  (resp.  $iJ$ ) as its set of generating (acyclic) cofibrations, weak equivalences  $\mathcal{W}$ , and fibrations  $\mathcal{F}$ . Then  $\mathbf{N}$  also admits the structure of a cofibrantly generated model category, with  $I$  (resp.  $J$ ) the generating (acyclic) cofibrations, weak equivalences  $\mathcal{W} \cap \mathbf{N}$ , and fibrations  $\mathcal{F} \cap \mathbf{N}$ .*

A prototypical example of this situation is the inclusion of the category of Kelley spaces into the category of all topological spaces; in this setting, this corollary essentially appears in [12, 2.4.22 – 2.4.23]. Other situations are those in which  $i : \mathbf{N} \rightarrow \mathbf{P}$  is fully faithful and the adjoint functor theorem applies, for instance when  $\mathbf{N}, \mathbf{P}$  are locally-presentable and  $i$  preserves all colimits.

*Proof of Corollary 1.7.* Let  $u : \mathbf{P} \rightarrow \mathbf{N}$  be the right adjoint to  $i : \mathbf{N} \rightarrow \mathbf{P}$ , and let  $\mathbf{M}$  be the terminal category; there is an adjunction  $F_1 : \mathbf{M} \rightleftarrows \mathbf{N} : U_1$  where  $F_1$  sends the unique object of  $\mathbf{M}$  to the initial object  $\emptyset$  of  $\mathbf{N}$ .

Apply Proposition 1.5 to these adjunctions, with  $I_0 = \emptyset = J_0$  and

$$\mathcal{L} = \mathcal{W} \cap \mathbf{N} = i^{-1}(\mathcal{W}). \quad (3)$$

Hypothesis (A) is automatic and (B) holds by assumption. Notice that  $U_1^{-1}(\mathcal{W}_{\mathbf{M}}) = \mathbf{N}$  and  $U_0^{-1}(\mathcal{W}_{\mathbf{M}}) = \mathbf{P}$ . Hypothesis (D) holds since the domains of elements of  $I$  are already small relative to the larger<sup>6</sup> class  $iI$ -cell, hence to the class  $I$ -cell; in a similar way we obtain (E). Hypothesis (C) follows from the corresponding properties of  $\mathcal{W}$ .

Since  $i$  is fully faithful,  $ui \cong \text{id}_{\mathbf{N}}$ ; thus if  $\mathcal{K}$  is any class of maps in  $\mathbf{N}$

$$(i\mathcal{K})^{\square} \cap \mathbf{N} = i^{-1}((i\mathcal{K})^{\square}) \stackrel{1.2}{=} i^{-1}(u^{-1}(\mathcal{K}^{\square})) = (ui)^{-1}\mathcal{K}^{\square} = \mathcal{K}^{\square}. \quad (4)$$

So

$$I^{\square} \stackrel{(4)}{=} (iI)^{\square} \cap \mathbf{N} = \mathcal{W} \cap (iJ)^{\square} \cap \mathbf{N} = \mathcal{W} \cap \mathbf{N} \cap (iJ)^{\square} \cap \mathbf{N} \stackrel{(4)}{=} \mathcal{L} \cap J^{\square}$$

and we have established (F). Finally, (G) holds since

$$i^{-1}(\mathcal{W}) = \mathcal{W} \cap \mathbf{N} = (\mathcal{W} \cap \mathbf{N}) \cap \mathbf{N} = \mathcal{L} \cap U_1^{-1}(\mathcal{W}_{\mathbf{M}}) = \mathcal{W}_{\mathbf{N}} \quad (5)$$

since  $U_1^{-1}(\mathcal{W}_{\mathbf{M}}) = \mathbf{N}$ .

Now we can apply Proposition 1.5 to obtain a model structure on  $\mathbf{N}$ . The class of weak equivalences in  $\mathbf{N}$  is  $\mathcal{W} \cap \mathbf{N}$  by (5). We know that the fibrations for  $\mathbf{N}$  are

$$J^{\square} \stackrel{(4)}{=} (iJ)^{\square} \cap \mathbf{N} = \mathcal{F} \cap \mathbf{N},$$

which completes the proof. □

## 2. Graph groupoids, pasting schemes, generalized props

In this section we recall some concepts and examples from [25], though we often use the same terminology for things that are much less general in the present paper.

Given a set  $\mathfrak{C}$ , a *profile*  $\underline{c} = (c_1, \dots, c_n)$  is simply an ordered list of elements in  $\mathfrak{C}$ . A *biprofile* is a pair of profiles, written alternately as

$$\begin{pmatrix} d_1, \dots, d_m \\ c_1, \dots, c_n \end{pmatrix} = \begin{pmatrix} \underline{d} \\ \underline{c} \end{pmatrix} = (\underline{c}; \underline{d}) = (c_1, \dots, c_n; d_1, \dots, d_m),$$

where each  $c_i$  and each  $d_k$  are in  $\mathfrak{C}$ .

An  $\mathfrak{C}$ -colored graph  $G$  consists of

- a directed graph  $G$  with half-edges which has no directed cycles,

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<sup>6</sup>Lemma 1.1

- a coloring function  $\xi$  from the set of edges of  $G$  to  $\mathfrak{C}$ ,
- orderings on the inputs and outputs of the graph

$$\text{ord}_i : \{1, \dots, n\} \xrightarrow{\cong} \text{in } G$$

$$\text{ord}_o : \{1, \dots, m\} \xrightarrow{\cong} \text{out } G,$$

and

- orderings on the inputs and outputs of each vertex  $v \in \text{Vt}(G)$

$$\text{ord}_i^v : \{1, \dots, n_v\} \xrightarrow{\cong} \text{in } v$$

$$\text{ord}_o^v : \{1, \dots, m_v\} \xrightarrow{\cong} \text{out } v.$$

**Example 2.1.** Given a biprofile  $(\underline{c}; \underline{d}) = (c_1, \dots, c_n; d_1, \dots, d_m)$  with  $c_i, d_j \in \mathfrak{C}$ , the *standard corolla*  $C_{(\underline{c}; \underline{d})}$  is the graph with one vertex  $v$ , half-edges  $1, \dots, n + m$  with

$$\text{ord}_i = \text{ord}_i^v : \{1, \dots, n\} \xrightarrow{\cong} \{1, \dots, n\} = \text{in } G = \text{in } v$$

$$\text{ord}_o = \text{ord}_o^v : \{1, \dots, m\} \xrightarrow{\cong} \{n + 1, \dots, n + m\} = \text{out } G = \text{out } v$$

and

$$\xi(i) = \begin{cases} c_i & 1 \leq i \leq n \\ d_{i-n} & n + 1 \leq i \leq n + m. \end{cases}$$

A *strict isomorphism* between  $\mathfrak{C}$ -colored graphs preserves all structure, while a *weak isomorphism* does not necessarily preserve the orderings. The category of (wheel-free) graphs along with weak isomorphism gives us our first example of a *graph groupoid*, which we denote by  $\mathbf{Gr}^\uparrow$ . We are also interested in the following full subgroupoids of  $\mathbf{Gr}^\uparrow$ :

- The subgroupoid  $\mathbf{Gr}_c^\uparrow$  whose objects are the *connected* graphs.
- The subgroupoid  $\mathbf{Gr}_{\text{di}}^\uparrow$  whose objects are the *simply connected* graphs.

If we fix a set of colors  $\mathfrak{C}$ , then we will write  $\mathbf{Gr}^\uparrow(\mathfrak{C}) \subset \mathbf{Gr}^\uparrow$  (resp.  $\mathbf{Gr}_c^\uparrow(\mathfrak{C}) \subset \mathbf{Gr}_c^\uparrow$  and  $\mathbf{Gr}_{\text{di}}^\uparrow(\mathfrak{C}) \subset \mathbf{Gr}_{\text{di}}^\uparrow$ ) for the full subgroupoids of  $\mathfrak{C}$ -colored graphs. For a fixed biprofile  $(\underline{c}; \underline{d}) = (c_1, \dots, c_n; d_1, \dots, d_m)$  with  $c_i, d_j \in \mathfrak{C}$ , there is a (non-full) subgroupoid  $\mathbf{Gr}^\uparrow(\mathfrak{C}) \left( \frac{\underline{d}}{\underline{c}} \right) \subset \mathbf{Gr}^\uparrow(\mathfrak{C}) \subset \mathbf{Gr}^\uparrow$  with

- objects those graphs with  $\xi(\text{ord}_i(s)) = c_s \in \mathfrak{C}$  and  $\xi(\text{ord}_o(t)) = d_t \in \mathfrak{C}$ ,
- morphisms the *strict* isomorphisms.

The use of strict isomorphism guarantees preservation of the colors of the inputs and outputs. There are analogously defined subgroupoids  $\mathbf{Gr}_c^\uparrow(\mathfrak{C}) \left( \frac{\underline{d}}{\underline{c}} \right) \subset \mathbf{Gr}_c^\uparrow(\mathfrak{C}) \subset \mathbf{Gr}_c^\uparrow$  and  $\mathbf{Gr}_{\text{di}}^\uparrow(\mathfrak{C}) \left( \frac{\underline{d}}{\underline{c}} \right) \subset \mathbf{Gr}_{\text{di}}^\uparrow(\mathfrak{C}) \subset \mathbf{Gr}_{\text{di}}^\uparrow$ .

Each of  $\mathbf{Gr}^\uparrow(\mathfrak{C})$ ,  $\mathbf{Gr}_c^\uparrow(\mathfrak{C})$ , and  $\mathbf{Gr}_{\text{di}}^\uparrow(\mathfrak{C})$  is a  $\mathfrak{C}$ -colored *pasting scheme* [25, 8.2] for any color set  $\mathfrak{C}$ , which essentially means that they are closed under the operation of *graph substitution*.

*Remark 2.2.* We will often work with strict isomorphism classes of graphs instead of the graphs themselves; this assumption guarantees that the above categories of graphs are *small* categories. We will also need this in section 4.A to ensure that the extension category has small hom sets.

Let  $\mathfrak{C}$  be a set of colors, and let  $\mathbf{Gr}$  be one of  $\mathbf{Gr}^\uparrow$ ,  $\mathbf{Gr}_c^\uparrow$ , or  $\mathbf{Gr}_{\text{di}}^\uparrow$ . A simplicial  $\mathbf{Gr}(\mathfrak{C})$ -prop consists of the data of

- a family of simplicial sets

$$\mathcal{P} \left( \frac{\underline{d}}{\underline{c}} \right) \in \mathbf{sSet},$$

one for each biprofile  $(\underline{c}; \underline{d})$  in  $\mathfrak{C}$ ;



- unit elements  $\text{id}_c \in \mathcal{P}(c)_0$ ; and
- composition maps

$$\gamma_G : \mathcal{P}[G] \rightarrow \mathcal{P}\left(\begin{array}{c} \xi \text{ out } G \\ \xi \text{ in } G \end{array}\right)$$

for each  $\mathfrak{C}$ -colored graph  $G \in \mathbf{Gr}(\mathfrak{C})$ , where

$$\mathcal{P}[G] = \prod_{v \in \text{Vt}(G)} \mathcal{P}\left(\begin{array}{c} \xi \text{ out}(v) \\ \xi \text{ in}(v) \end{array}\right)$$

is the graph  $G$  with each vertex decorated by some element in the family.

These data should satisfy appropriate identity, associativity, and equivariance properties; we refer the reader to [11, 14] or [25, 10.39] for precise definitions. We will frequently write  $\text{Col}(\mathcal{P}) = \mathfrak{C}$  for the set of colors of  $\mathcal{P}$ . A morphism  $\mathcal{P} \rightarrow \mathcal{Q}$  from a  $\mathbf{Gr}(\mathfrak{C})$ -prop to a  $\mathbf{Gr}(\mathfrak{D})$ -prop consists of a set map  $f : \mathfrak{C} \rightarrow \mathfrak{D}$  and a family of morphisms

$$\left\{ \mathcal{P}\left(\begin{array}{c} d \\ c \end{array}\right) \rightarrow \mathcal{Q}\left(\begin{array}{c} f d \\ f c \end{array}\right) \right\}$$

which are compatible with the composition maps and unit elements. Let  $\mathbf{sProp}^{\mathbf{Gr}}$  be the category, fibered over  $\mathbf{Set}$ , whose objects are simplicial  $\mathbf{Gr}(\mathfrak{C})$ -props (as  $\mathfrak{C}$  varies) and whose morphisms are as above. We shall call objects in  $\mathbf{sProp}^{\mathbf{Gr}}$  simply ‘simplicial  $\mathbf{Gr}$ -props’.

- Objects of  $\mathbf{sProp}^{\mathbf{Gr}^\uparrow}$  are called simplicial *props*, and we write  $\mathbf{sProp}$  for this category.
- Objects of  $\mathbf{sProp}^{\mathbf{Gr}_c^\uparrow} = \mathbf{sProperad}$  are called simplicial *properads*.
- Objects of  $\mathbf{sProp}^{\mathbf{Gr}_{\text{di}}^\uparrow} = \mathbf{sDioperad}$  are called simplicial *dioperads*.

### 3. Local equivalences and liftings

Consider one of the graph groupoids  $\mathbf{Gr}$  discussed above, and let  $\mathbf{N} = \mathbf{sProp}^{\mathbf{Gr}}$  be the category of simplicial props (for  $\mathbf{Gr} = \mathbf{Gr}^\uparrow$ ), simplicial properads (for  $\mathbf{Gr} = \mathbf{Gr}_c^\uparrow$ ), or simplicial dioperads (for  $\mathbf{Gr} = \mathbf{Gr}_{\text{di}}^\uparrow$ ). Let  $\mathcal{L} = \mathcal{L}_{\mathbf{N}} \subset \mathbf{N}$  denote the subcategory of *local equivalences*, i.e. those maps  $f : \mathcal{P} \rightarrow \mathcal{Q}$  so that for every biprofile  $\left(\begin{array}{c} d \\ c \end{array}\right)$  of  $\mathcal{P}$ , the map

$$f_{(c;d)} : \mathcal{P}\left(\begin{array}{c} d \\ c \end{array}\right) \rightarrow \mathcal{Q}\left(\begin{array}{c} f d \\ f c \end{array}\right)$$

is a weak equivalence in  $\mathbf{sSet}$ .

*Remark 3.1.* The subcategory  $\mathcal{L}$  does not satisfy the two out of three property. The functor  $\mathbf{Cat} \hookrightarrow \mathbf{sCat} \rightarrow \mathbf{N}$  allows us to regard  $\mathbf{Cat}$  as a full subcategory of  $\mathbf{N}$ . Then  $\mathcal{L} \cap \mathbf{Cat}$  is the class of full and faithful functors, which does not satisfy two out of three. For another example, if  $\emptyset$  is the initial object of  $\mathbf{N}$  (with  $\text{Col}(\emptyset) = \emptyset$ ), then, for any  $\mathcal{R}$  with  $\mathcal{R}(\emptyset) = \emptyset$ , the map  $\emptyset \rightarrow \mathcal{R}$  is in  $\mathcal{L}$ . We then have that the triple

$$\emptyset \rightarrow \mathcal{P} \xrightarrow{f} \mathcal{Q}$$

violates two out of three whenever  $\mathcal{P}(\emptyset) = \mathcal{Q}(\emptyset) = \emptyset$  and  $f \notin \mathcal{L}$ .

On the other hand,  $\mathcal{L}$  is closed under composition and, if we have

$$\mathcal{P} \xrightarrow{g} \mathcal{Q} \xrightarrow{f} \mathcal{R}$$

with  $f$  and  $f \circ g$  both in  $\mathcal{L}$ , then  $g \in \mathcal{L}$  as well.

Furthermore,  $\mathcal{L}$  is closed under retracts. Suppose we are given a retraction diagram

$$\begin{array}{ccccc} & & \text{id} & & \\ & \curvearrowright & & \curvearrowleft & \\ X_1 & \xrightarrow{i_1} & Y_1 & \xrightarrow{r_1} & X_1 \\ \downarrow f & & \downarrow g & & \downarrow f \\ X_2 & \xrightarrow{i_2} & Y_2 & \xrightarrow{r_2} & X_2 \\ & \curvearrowleft & \text{id} & \curvearrowright & \end{array}$$

with  $g \in \mathcal{L}$ . Then, for each biprofile  $\left(\frac{d}{c}\right)$  in  $X_1$  we have a retraction diagram

$$\begin{array}{ccccc} & & \text{id} & & \\ & \curvearrowright & & \curvearrowleft & \\ X_1\left(\frac{d}{c}\right) & \xrightarrow{i_1} & Y_1\left(\frac{i_1 d}{i_1 c}\right) & \xrightarrow{r_1} & X_1\left(\frac{d}{c}\right) \\ \downarrow f & & \simeq \downarrow g & & \downarrow f \\ X_2\left(\frac{f d}{f c}\right) & \xrightarrow{i_2} & Y_2\left(\frac{g i_1 d}{g i_1 c}\right) & \xrightarrow{r_2} & X_2\left(\frac{f d}{f c}\right) \\ & \curvearrowleft & \text{id} & \curvearrowright & \end{array}$$

in  $\mathbf{sSet}$ . Since weak equivalences in  $\mathbf{sSet}$  are closed under retracts,

$$f_{(c;d)} : X_1\left(\frac{d}{c}\right) \rightarrow X_2\left(\frac{f d}{f c}\right)$$

is a weak equivalence for all  $\left(\frac{d}{c}\right)$ , hence  $f \in \mathcal{L}$ .

We now begin to work towards Theorem 3.5, where we address the defect of  $\mathcal{L}$  noted in Remark 3.1: if  $g$  and  $f \circ g$  are not just in  $\mathcal{L}$  but are also *categorical equivalences* (that is, satisfy (W2) of Definition A), then  $f$  is also in  $\mathcal{L}$ . To establish this fact, we need to show that isomorphisms in  $\pi_0 \mathcal{P}$  act on the components  $\mathcal{P}\left(\frac{d}{c}\right)$  via weak equivalences. Write

$$U_1 : \mathbf{N} \rightarrow \mathbf{sCat}$$

for the forgetful functor, with  $\text{Ob}(U_1(\mathcal{P})) = \text{Col}(\mathcal{P})$  and  $U_1(\mathcal{P})(a, b) = \mathcal{P}\left(\frac{b}{a}\right)$ .

**Lemma 3.2.** *Let  $\mathcal{P} \in \mathbf{N}$  and suppose that  $a$  and  $a'$  are vertices of  $\mathcal{P}\left(\frac{b'}{b}\right) = U_1 \mathcal{P}(b, b')$  which represent the same class in  $\pi_0 \mathcal{P}(b, b')$ . Consider the maps*

$$\begin{aligned} (- \circ_i a), (- \circ_i a') &: \mathcal{P}\left(\frac{d}{c_1, \dots, c_{i-1}, b', c_{i+1}, \dots, c_n}\right) \rightarrow \mathcal{P}\left(\frac{d}{c_1, \dots, c_{i-1}, b, c_{i+1}, \dots, c_n}\right) \\ (a \circ_j -), (a' \circ_j -) &: \mathcal{P}\left(\frac{d_1, \dots, d_{j-1}, b, d_{j+1}, \dots, d_m}{c}\right) \rightarrow \mathcal{P}\left(\frac{d_1, \dots, d_{j-1}, b', d_{j+1}, \dots, d_m}{c}\right). \end{aligned}$$

Then we have homotopies  $|- \circ_i a| \simeq |- \circ_i a'|$  and  $|a \circ_j -| \simeq |a' \circ_j -|$  after taking geometric realization.

*Proof.* We prove the statements for precomposition; postcomposition follows similarly. Since geometric realization commutes with finite products,  $|\mathcal{P}|$  is a  $\mathbf{Gr}$ -prop enriched in topological spaces with structure maps given by

$$\gamma_G^{|\mathcal{P}|} : |\mathcal{P}|[G] = |\mathcal{P}[G]| \xrightarrow{|\gamma_G^{\mathcal{P}}|} \left| \mathcal{P}\left(\frac{d}{c}\right) \right|.$$

Let  $\alpha$  be a path from  $a$  to  $a'$  in  $|\mathcal{P}(b')|$ , that is, a continuous map  $I \rightarrow |\mathcal{P}(b')|$  with  $\alpha(0) = a$  and  $\alpha(1) = a'$ . The map

$$H : \left| \mathcal{P} \left( c_1, \dots, c_{i-1}, \frac{d}{b'}, c_{i+1}, \dots, c_n \right) \right| \times I \rightarrow \left| \mathcal{P} \left( c_1, \dots, c_{i-1}, \frac{d}{b}, c_{i+1}, \dots, c_n \right) \right|$$

with  $H(x, t) = x \circ_i \alpha(t)$  is a homotopy from  $|- \circ_i a|$  to  $|- \circ_i a'|$ .  $\square$

**Lemma 3.3.** *Let  $\mathcal{P} \in \mathbf{N}$ , and suppose that  $\alpha : b \rightarrow b'$  is an isomorphism in  $\pi_0 U_1 \mathcal{P}$ . If  $a \in \mathcal{P}(b')_0 = U_1 \mathcal{P}(b, b')_0$  is a representative for  $\alpha$ , then the maps*

$$\begin{aligned} - \circ_i a : \mathcal{P} \left( c_1, \dots, c_{i-1}, \frac{d}{b'}, c_{i+1}, \dots, c_n \right) &\rightarrow \mathcal{P} \left( c_1, \dots, c_{i-1}, \frac{d}{b}, c_{i+1}, \dots, c_n \right) \\ a \circ_j - : \mathcal{P} \left( d_1, \dots, d_{j-1}, b, d_{j+1}, \dots, d_m \right) &\rightarrow \mathcal{P} \left( d_1, \dots, d_{j-1}, b', d_{j+1}, \dots, d_m \right) \\ &\quad \underline{c} \qquad \qquad \qquad \underline{c} \end{aligned}$$

are weak equivalences in  $\mathbf{sSet}$ .

*Proof.* We only prove the first statement; the second is similar. Write

$$X = \mathcal{P} \left( c_1, \dots, c_{i-1}, \frac{d}{b}, c_{i+1}, \dots, c_n \right) \text{ and } X' = \mathcal{P} \left( c_1, \dots, c_{i-1}, \frac{d}{b'}, c_{i+1}, \dots, c_n \right).$$

Let  $a' \in U_1 \mathcal{P}(b', b)_0$  be a vertex so that  $\alpha^{-1} = [a']$ . Then  $[a \circ a'] = [\text{id}_{b'}] \in \pi_0 \mathcal{P}(b')$  and  $[a' \circ a] = [\text{id}_b] \in \pi_0 \mathcal{P}(b)$ . By the previous lemma,  $|- \circ_i (a' \circ a)| \simeq |- \circ_i \text{id}_{b'}| = \text{id}_{|X|}$  and  $|- \circ_i (a \circ a')| \simeq \text{id}_{|X'|}$ . But

$$\begin{aligned} \text{id}_{|X|} &\simeq |- \circ_i (a' \circ a)| = |- \circ_i a'| \circ |- \circ_i a| \\ \text{id}_{|X'|} &\simeq |- \circ_i (a \circ a')| = |- \circ_i a| \circ |- \circ_i a' \end{aligned}$$

so  $|- \circ_i a|$  and  $|- \circ_i a'|$  are homotopy inverses for each other. Thus  $(- \circ_i a)$  is a weak equivalence in  $\mathbf{sSet}$ .  $\square$

**Corollary 3.4.** *Let  $(\frac{d}{c})$ ,  $(\frac{d'}{c'})$  be biprofiles, and suppose we have isomorphisms*

$$\begin{aligned} \alpha_i &\in \pi_0 U_1 \mathcal{P}(c'_i, c_i) \\ \beta_j &\in \pi_0 U_1 \mathcal{P}(d_j, d'_j). \end{aligned}$$

By choosing representatives  $a_i \in \alpha_i$  and  $b_j \in \beta_j$ , we have a map

$$(a; b) : \mathcal{P} \left( \frac{d}{c} \right) \rightarrow \mathcal{P} \left( \frac{d'}{c'} \right);$$

this map is a weak equivalence in  $\mathbf{sSet}$ .  $\square$

The following verifies (C) of Theorem 1.5 in the proof of the main theorem (using  $\mathbf{Gr} = \mathbf{Gr}_c^\uparrow$  in 5.3 and  $\mathbf{Gr} = \mathbf{Gr}_{\text{di}}^\uparrow$  in 5.4).

**Theorem 3.5.** *Let  $\mathbf{N} = \mathbf{sProp}^{\text{Gr}}$  be the category of simplicial props, simplicial properads, or simplicial dioperads. Suppose that we have two morphisms*

$$\mathcal{P} \xrightarrow{g} \mathcal{Q} \xrightarrow{f} \mathcal{R}$$

of  $\mathbf{N}$ . If  $g$  and  $f \circ g$  are in

$$\mathcal{W}_{\mathbf{N}} = (U_1^{-1} \mathcal{W}_{\mathbf{sCat}}) \cap \mathcal{L},$$

then so is  $f$ . Consequently,  $\mathcal{W}_{\mathbf{N}}$  satisfies the two out of three property and is closed under retracts.

*Proof.* Note that  $U_1^{-1}\mathcal{W}_{\mathbf{sCat}}$  satisfies the two out of three property, so we already know that  $f \in U_1^{-1}\mathcal{W}_{\mathbf{sCat}}$ .

It remains to show that  $f \in \mathcal{L}$ . Let  $(\underline{c}; \underline{d}) = (c_1, \dots, c_n; d_1, \dots, d_m)$  be any biprofile in  $\mathcal{Q}$ . Since  $g \in U_1^{-1}\mathcal{W}_{\mathbf{sCat}}$ , the functor  $\pi_0 U_1(g) : \pi_0 U_1 \mathcal{P} \rightarrow \pi_0 U_1 \mathcal{Q}$  is essentially surjective. Thus, we can find a biprofile  $(\underline{c}'; \underline{d}') = (c'_1, \dots, c'_n; d'_1, \dots, d'_m)$  of  $\mathcal{P}$  along with isomorphisms

$$\begin{aligned} [a_i] &= \alpha_i : g(c'_i) \rightarrow c_i \\ [b_j] &= \beta_j : d_j \rightarrow g(d'_j) \end{aligned}$$

in  $\pi_0 U_1 \mathcal{Q}$ . We then have a diagram

$$\begin{array}{ccc} \mathcal{Q}(\underline{d}) & \xrightarrow{f_{(\underline{c}; \underline{d})}} & \mathcal{R}(\underline{f} \underline{d}) \\ \downarrow (a; b) & & \downarrow (fa; fb) \\ \mathcal{P}(\underline{d}') & \xrightarrow{g_{(\underline{c}'; \underline{d}')}} & \mathcal{Q}(\underline{g} \underline{d}') \xrightarrow{f_{(\underline{g} \underline{c}'; \underline{g} \underline{d}')}} \mathcal{R}(\underline{f} \underline{g} \underline{d}') \end{array}$$

The map  $f_{(\underline{g} \underline{c}'; \underline{g} \underline{d}')}$  is a weak equivalence by two out of three on  $\mathbf{sSet}$  and the vertical maps are weak equivalences by Corollary 3.4. Since the square commutes,  $f_{(\underline{c}; \underline{d})}$  is a weak equivalence as well. But  $(\underline{c}; \underline{d})$  was arbitrary, so  $f \in \mathcal{L}$ .  $\square$

**3.A Local liftings** Our next goal is Proposition 3.9, which characterizes maps satisfying (F1) from Definition A via a lifting property. We will also characterize maps which satisfy both (F1) and (W1).

**Definition 3.6.** For  $n, m \geq 0$ , let  $\mathcal{G}_{n,m} : \mathbf{sSet} \rightarrow \mathbf{N}$  be the functor characterized by the property that

$$\mathrm{Hom}_{\mathbf{N}}(\mathcal{G}_{n,m}[X], \mathcal{P}) = \left\{ (\underline{c}; \underline{d}), f : |\underline{c}| = n, |\underline{d}| = m, f \in \mathrm{Hom}_{\mathbf{sSet}} \left( X, \mathcal{P} \left( \frac{\underline{d}}{\underline{c}} \right) \right) \right\}.$$

The following lemma says that these functors  $\mathcal{G}_{n,m}$  exist; its proof should be comfortable for any reader acquainted with the construction of  $\Gamma(C_{(n,m)})$  from [11, Definition 5.7]. When  $\mathbf{N}$  is the category of simplicial properads, these functors appeared previously in [9].

**Lemma 3.7.** *Let  $\mathbf{Gr} \in \{\mathbf{Gr}^\uparrow, \mathbf{Gr}_c^\uparrow, \mathbf{Gr}_{\mathrm{di}}^\uparrow\}$  and  $n, m \geq 0$ . Then there is a functor  $\mathcal{G}_{n,m} : \mathbf{sSet} \rightarrow \mathbf{sProp}^{\mathbf{Gr}} = \mathbf{N}$  as in Definition 3.6.*

We require a bit of terminology that we will not use elsewhere in this paper, and which will be confined to the proof.

*Proof.* Suppose that  $\mathfrak{C}$  is a set, and let  $\mathbf{sProp}^{\mathbf{Gr}(\mathfrak{C})} \subseteq \mathbf{N}$  denote the category with

- objects those  $\mathcal{P} \in \mathbf{N}$  with  $\mathrm{Col}(\mathcal{P}) = \mathfrak{C}$ , and
- morphisms those maps which are the identity on color sets.

There is a functor which picks out the underlying family of simplicial sets

$$\begin{aligned} U : \mathbf{sProp}^{\mathbf{Gr}(\mathfrak{C})} &\rightarrow \prod_{b,a \geq 0} \prod_{\mathfrak{C}^b \times \mathfrak{C}^a} \mathbf{sSet} \\ \mathcal{P} &\mapsto \left\{ \mathcal{P} \left( \frac{\underline{d}}{\underline{c}} \right) \right\}. \end{aligned}$$

It admits a left adjoint  $F$ .

Given a function  $f : \mathfrak{C} \rightarrow \mathfrak{D}$ , there is a functor  $f^* : \mathbf{sProp}^{\text{Gr}(\mathfrak{D})} \rightarrow \mathbf{sProp}^{\text{Gr}(\mathfrak{C})}$  which sends a simplicial  $\text{Gr}(\mathfrak{D})$ -prop  $\mathcal{Q}$  to a simplicial  $\text{Gr}(\mathfrak{C})$ -prop  $f^*\mathcal{Q}$  whose underlying family of simplicial sets is

$$U(f^*\mathcal{Q}) = \left\{ f^*\mathcal{Q}\left(\frac{d}{c}\right) = \mathcal{Q}\left(\frac{fd}{fc}\right) \right\}.$$

Rephrasing our earlier definition, a morphism  $\mathcal{P} \rightarrow \mathcal{Q}$  in  $\mathbf{N}$  is the same thing as a pair  $(f : \mathfrak{C} \rightarrow \mathfrak{D}, \mathcal{P} \rightarrow f^*\mathcal{Q})$  where  $\mathfrak{C} = \text{Col}(\mathcal{P})$ ,  $\mathfrak{D} = \text{Col}(\mathcal{Q})$ , and  $\mathcal{P} \rightarrow f^*\mathcal{Q}$  is a morphism in  $\mathbf{sProp}^{\text{Gr}(\mathfrak{C})}$ .

Now consider the set  $\mathfrak{C} = \{1, 2, \dots, n, 1', 2', \dots, m'\}$ ; we have that functions  $\mathfrak{C} \rightarrow \mathfrak{C}$  are in bijection with biprofiles  $\left(\frac{d}{c}\right)$  satisfying  $|c| = n$  and  $|d| = m$ . The projection  $\prod_{b,a \geq 0} \prod_{\mathfrak{C}^b \times \mathfrak{C}^a} \mathbf{sSet} \rightarrow \mathbf{sSet}$  which picks out  $\mathcal{P}\left(\frac{1', 2', \dots, m'}{1, 2, \dots, n}\right)$  admits a left adjoint  $F'$  (obtained by putting  $\emptyset$  in all other entries). Define  $\mathcal{G}_{n,m}[-]$  as the composite

$$\mathbf{sSet} \xrightarrow{F'} \prod_{b,a \geq 0} \prod_{\mathfrak{C}^b \times \mathfrak{C}^a} \mathbf{sSet} \xrightarrow{F} \mathbf{sProp}^{\text{Gr}(\mathfrak{C})} \rightarrow \mathbf{sProp}^{\text{Gr}} = \mathbf{N}.$$

To see that this functor satisfies the desired universal property, we use that maps  $\mathcal{G}_{n,m}[X] \rightarrow \mathcal{P}$  are the same thing as pairs  $(f : \mathfrak{C} \rightarrow \mathfrak{C}, \mathcal{G}_{n,m}[X] \rightarrow f^*\mathcal{P})$ . As we said above, such an  $f$  is the same thing as a biprofile  $\left(\frac{d}{c}\right) = \left(\frac{f1', \dots, fm'}{f1, \dots, fn}\right)$  in  $\mathfrak{C}$ . Further, since  $\mathcal{G}_{n,m}[X]$  is a free object in  $\mathbf{sProp}^{\text{Gr}(\mathfrak{C})}$ , maps  $\mathcal{G}_{n,m}[X] \rightarrow f^*\mathcal{P}$  are in bijection with maps  $X \rightarrow \mathcal{P}\left(\frac{d}{c}\right)$  of simplicial sets.  $\square$

Recall that the Kan-Quillen model structure on  $\mathbf{sSet}$  is cofibrantly generated, with generating cofibrations the boundary inclusions  $\partial\Delta[p] \rightarrow \Delta[p]$  for  $p \geq 0$  and generating acyclic cofibrations the horn inclusions  $\Lambda[k, p] \rightarrow \Delta[p]$  with  $0 \leq k \leq p$ .

**Definition 3.8.** Define two sets  $I$  and  $J$  of morphisms of  $\mathbf{N}$ .

- The set  $I$  consists of the maps

$$\mathcal{G}_{n,m}[\partial\Delta[p]] \rightarrow \mathcal{G}_{n,m}[\Delta[p]],$$

where  $n, m, p \geq 0$ .

- The set  $J$  consists of

$$\mathcal{G}_{n,m}[\Lambda[k, p]] \rightarrow \mathcal{G}_{n,m}[\Delta[p]],$$

where  $n, m, p \geq 0$  and  $0 \leq k \leq p$ .

**Proposition 3.9.** The class  $J^\square$  is the collection of all maps  $f : \mathcal{P} \rightarrow \mathcal{Q}$  so that

$$f_{(c;d)} : \mathcal{P}\left(\frac{d}{c}\right) \rightarrow \mathcal{Q}\left(\frac{fd}{fc}\right)$$

is a fibration for all biprofiles  $\left(\frac{d}{c}\right)$ . The class  $I^\square$  is the collection of all maps  $f : \mathcal{P} \rightarrow \mathcal{Q}$  so that  $f_{(c;d)} : \mathcal{P}\left(\frac{d}{c}\right) \rightarrow \mathcal{Q}\left(\frac{fd}{fc}\right)$  is an acyclic fibration for all biprofiles  $\left(\frac{d}{c}\right)$ .

*Proof.* We prove the first statement, the second is analogous. Suppose that  $f : \mathcal{P} \rightarrow \mathcal{Q}$  is in  $J^\square$  and  $\left(\frac{d}{c}\right)$  is a biprofile in  $\text{Col}(\mathcal{P})$ . Suppose we have any diagram

$$\begin{array}{ccc} \Lambda[k, p] & \xrightarrow{g} & \mathcal{P}\left(\frac{d}{c}\right) \\ \downarrow i & & \downarrow f_{(c;d)} \\ \Delta[p] & \xrightarrow{h} & \mathcal{Q}\left(\frac{fd}{fc}\right); \end{array} \tag{6}$$

then we have a commutative diagram

$$\begin{array}{ccc} \mathcal{G}_{n,m}[\Lambda[k, p]] & \xrightarrow{(\underline{c}; \underline{d}), g} & \mathcal{P} \\ \mathcal{G}_{n,m}[\underline{i}] \downarrow & & \downarrow f \\ \mathcal{G}_{n,m}[\Delta[p]] & \xrightarrow{(\underline{c}'; \underline{d}'), h} & \mathcal{Q} \end{array} \quad (7)$$

where  $(\underline{c}'; \underline{d}') = (f\underline{c}; f\underline{d})$  by the defining property of  $\mathcal{G}_{n,m}$ ; since  $f \in J^\square$ , the latter diagram has a lift, hence so does the former diagram. Thus  $f_{(\underline{c}; \underline{d})} \in (\Lambda[k, p] \rightarrow \Delta[p])^\square$ , the class of fibrations of **sSet**.

Next suppose that  $f : \mathcal{P} \rightarrow \mathcal{Q}$  is a map so that  $f_{(\underline{c}; \underline{d})}$  is a fibration for all  $(\underline{c}; \underline{d})$ . Then, given a diagram of the form (7), the top map gives a biprofile  $\binom{\underline{d}}{\underline{c}}$  in  $\text{Col}(\mathcal{P})$  and the diagram (6) commutes, where, necessarily,  $(f\underline{c}; f\underline{d}) = (\underline{c}'; \underline{d}')$ . But since  $f_{(\underline{c}; \underline{d})}$  is a fibration, a lift  $t : \Delta[p] \rightarrow \mathcal{P} \binom{\underline{d}}{\underline{c}}$  exists. This induces a lift in the diagram (7) by the universal property of Definition 3.6. This is true for any diagram of this form, hence  $f \in J^\square$ .  $\square$

By definition,  $\mathcal{L}$  consists of those maps which satisfy (W1) of Definition A. The previous proposition establishes that  $J^\square$  consists of those maps which satisfy (F1) and  $I^\square$  consists of those maps that satisfy both (F1) and (W1). We thus have the following corollary.

**Corollary 3.10.** *Let  $\mathbf{N} = \mathbf{sProp}^{\text{Gr}}$  be the category of simplicial props, simplicial properads, or simplicial dioperads. If  $I$  and  $J$  are as in Definition 3.8, then*

$$I^\square = \mathcal{L} \cap J^\square.$$

$\square$

This verifies (F) of Theorem 1.5 in the proof of the main theorem (using  $\mathbf{Gr} = \mathbf{Gr}_c^\uparrow$  in 5.3 and  $\mathbf{Gr} = \mathbf{Gr}_{\text{di}}^\uparrow$  in 5.4).

#### 4. Adjunctions over **sCat**

Suppose that we have an adjunction  $(F_2, U_2)$  over **sCat**:

$$\begin{array}{ccc} & \begin{array}{c} \curvearrowright \\ F_0 \\ \curvearrowleft \end{array} & \\ \mathbf{sCat} & \begin{array}{c} \xrightarrow{F_1} \mathbf{N} \xrightarrow{F_2} \mathbf{P} \\ \xleftarrow{U_1} \quad \xleftarrow{U_2} \end{array} & \\ \pi_0 \downarrow & & \downarrow U_0 \\ \mathbf{Cat} & & \end{array}$$

Write  $\eta : \text{id}_{\mathbf{N}} \Rightarrow U_2 F_2$  for the unit of the adjunction. We say that  $(F_2, U_2)$  is *categorically well-behaved* if

1.  $\pi_0 U_1(\eta_X) : \pi_0 U_1 X \rightarrow \pi_0 U_1 U_2 F_2 X$  is the identity on objects for all  $X$ .
2. The map

$$\text{Iso}(\pi_0 U_1 X) \rightarrow \text{Iso}(\pi_0 U_1 U_2 F_2 X)$$

induced by  $\pi_0 U_1 \eta_X$  is a bijection for all  $X$ .

**Proposition 4.1.** *Suppose that the adjunction is categorically well-behaved and  $f : X \rightarrow Y \in \mathbf{N}$ .*

- *If  $\pi_0 U_1 U_2 F_2(f)$  is essentially surjective, then so is  $\pi_0 U_1(f)$ .*

- If  $\pi_0 U_1 U_2 F_2(f)$  is an isofibration, then so is  $\pi_0 U_1(f)$ .

*Proof.* Within this proof, we will write  $T_2 = U_2 F_2$ .

Suppose that  $\pi_0 U_1 T_2(f)$  is essentially surjective and  $b \in \pi_0 U_1 Y$ . Then there is an isomorphism  $\phi : \pi_0 U_1 T_2(f)(a) \rightarrow b$  in  $\pi_0 U_1 T_2 Y$ . By (1),  $\pi_0 U_1 T_2(f)(a) = \pi_0 U_1(f)(a)$  and by (2) this  $\phi$  comes from an isomorphism  $\tilde{\phi} : \pi_0 U_1(f)(a) \rightarrow b$  in  $\pi_0 U_1 Y$ . Since  $b$  was arbitrary,  $\pi_0 U_1(f)$  is essentially surjective.

Now suppose that  $\pi_0 U_1 T_2(f)$  is an isofibration and

$$\tilde{\phi} : \pi_0 U_1(f)(e) \rightarrow b$$

is an isomorphism in  $\pi_0 U_1 Y$ . Then  $\phi = \pi_0 U_1(\eta_Y)(\tilde{\phi})$  is an isomorphism in  $\pi_0 U_1 T_2 Y$ , hence by the isofibration property there is a lift

$$\begin{array}{ccc} e & \xrightarrow{\psi} & e' \\ \pi_0 U_1 T_2(f)(e) & \xrightarrow{\phi} & b \end{array}$$

with  $\psi : e \rightarrow e'$  an isomorphism of  $\pi_0 U_1 T_2 X$  and  $\pi_0 U_1 T_2(f)(\psi) = \phi$ . By (2), there is a  $\tilde{\psi} : e \rightarrow e'$  in  $\pi_0 U_1 X$  so that  $\pi_0 U_1(\eta_X)(\tilde{\psi}) = \psi$ . But then

$$\pi_0 U_1(\eta_Y)(\tilde{\phi}) = \phi = \pi_0 U_1 T_2(f)(\psi) = \pi_0 U_1 T_2(f)(\pi_0 U_1(\eta_X)(\tilde{\psi})) = \pi_0 U_1(\eta_Y)(\pi_0 U_1(f)(\tilde{\psi}))$$

so by injectivity of  $\pi_0 U_1(\eta_Y)$  on isomorphisms, we must have  $\pi_0 U_1(f)(\tilde{\psi}) = \tilde{\phi}$ . Since  $\tilde{\phi}$  was arbitrary, we then have that  $\pi_0 U_1(f)$  is an isofibration.  $\square$

#### 4.A Left adjoints

We have a sequence of adjunctions

$$\mathbf{sCat} \begin{array}{c} \xrightarrow{\quad} \\ \xleftarrow{\quad} \end{array} \mathbf{sDioperad} \begin{array}{c} \xrightarrow{F^1} \\ \xleftarrow{U^1} \end{array} \mathbf{sProperad} \begin{array}{c} \xrightarrow{F^0} \\ \xleftarrow{U^0} \end{array} \mathbf{sProp}$$

Our next goal is to show that  $F^1 : \mathbf{sDioperad} \rightleftarrows \mathbf{sProperad} : U^1$  and  $F^0 : \mathbf{sProperad} \rightleftarrows \mathbf{sProp} : U^0$  over  $\mathbf{sCat}$  are categorically well-behaved. To do so, we recall the description of the left adjoints from [25, §12.1.3].

Let  $\mathbf{Gr} \leq \mathbf{Gr}'$  be a pair of pasting schemes,  $\mathfrak{C}$  a set of colors, and  $(\underline{c}; \underline{d})$  be a biprofile in  $\mathfrak{C}$ . The *extension category*  $\mathcal{D}_{\mathfrak{C}}(\frac{\underline{d}}{\underline{c}})$  has objects  $\text{Ob}(\mathcal{D}_{\mathfrak{C}}(\frac{\underline{d}}{\underline{c}})) = \mathbf{Gr}'(\mathfrak{C})(\frac{\underline{d}}{\underline{c}})$ . A morphism<sup>7</sup>  $K \rightarrow G$  consists of substitution data  $\{H_v\}$  so that  $K = G\{H_v\}$  where  $H_v \in \mathbf{Gr}(\frac{\text{out}(v)}{\text{in}(v)})$ . Composition is given by associativity of graph substitution, that is, given  $\{I_w\} : J \rightarrow K$  and  $\{H_v\} : K \rightarrow G$ , use the isomorphism  $\text{Vt}(K) \cong \coprod_{v \in G} \text{Vt}(H_v)$  to reindex, so  $\{I_w\}_{w \in K} = \{I_u^v\}_{v \in G, u \in H_v}$ ; we then have

$$J = K\{I_w\}_{w \in K} = (G\{H_v\}_{v \in G})\{I_w\} = G\{H_v\{I_u^v\}_{u \in H_v}\}_{v \in G}.$$

This gives a morphism  $\{H_v\{I_u^v\}\} : J \rightarrow G$  since each  $H_v\{I_u^v\} \in \mathbf{Gr}(\frac{\text{out}(v)}{\text{in}(v)})$ . Recall from [25, Lemma 12.6] that the entries of the functor

$$F : \mathbf{sProp}^{\mathbf{Gr}} \rightarrow \mathbf{sProp}^{\mathbf{Gr}'}$$

<sup>7</sup>To ensure that  $\text{Hom}(K, G)$  is a *set* instead of a proper class, one should make an assumption that the substitution data is given, as in Remark 2.2, by strict isomorphism classes of graphs.

are given by

$$F\mathcal{P}\left(\frac{d}{c}\right) = \operatorname{colim}_{\mathcal{D}_{\mathfrak{C}}\left(\frac{d}{c}\right)} \mathcal{P}[G]. \quad (8)$$

The unit of the adjunction is given at a biprofile  $\left(\frac{d}{c}\right)$  by the inclusion  $\{C_{(c;d)}\} \hookrightarrow \mathcal{D}_{\mathfrak{C}}\left(\frac{d}{c}\right)$  yielding

$$\mathcal{P}\left(\frac{d}{c}\right) = \mathcal{P}[C_{(c;d)}] \rightarrow \operatorname{colim}_{\mathcal{D}_{\mathfrak{C}}\left(\frac{d}{c}\right)} \mathcal{P}[G] = F\mathcal{P}\left(\frac{d}{c}\right). \quad (9)$$

In the case when  $\mathbf{Gr} \leq \mathbf{Gr}'$  is the pair of pasting schemes

$$\mathbf{Gr}_c^\uparrow \leq \mathbf{Gr}^\uparrow$$

we will write the extension category as  $\mathcal{D}_{\mathfrak{C}}^0\left(\frac{d}{c}\right)$  and when we have the pair of pasting schemes

$$\mathbf{Gr}_{\text{di}}^\uparrow \leq \mathbf{Gr}_c^\uparrow$$

we will write the extension category as  $\mathcal{D}_{\mathfrak{C}}^1\left(\frac{d}{c}\right)$ . Thus, for  $i = 0, 1$  we have

$$F^i\mathcal{P}\left(\frac{d}{c}\right) = \operatorname{colim}_{\mathcal{D}_{\mathfrak{C}}^i\left(\frac{d}{c}\right)} \mathcal{P}[G].$$

We know that

$$\operatorname{Ob}\left(\mathcal{D}_{\mathfrak{C}}^i\left(\frac{d}{c}\right)\right) = \begin{cases} \mathbf{Gr}^\uparrow(\mathfrak{C})\left(\frac{d}{c}\right) & i = 0 \\ \mathbf{Gr}_c^\uparrow(\mathfrak{C})\left(\frac{d}{c}\right) & i = 1. \end{cases}$$

By forgetting structure, each graph  $G$  is a (1-skeletal) CW complex, so we can define a map  $\beta_i$  (for ‘Betti number’)

$$\begin{aligned} \beta_i: \operatorname{Ob}\left(\mathcal{D}_{\mathfrak{C}}^i\left(\frac{d}{c}\right)\right) &\rightarrow \mathbb{N} \\ G &\mapsto \operatorname{rank} \tilde{H}_i(G; \mathbb{Z}) \end{aligned}$$

Suppose that  $G\{H_v\} \rightarrow G$  is a morphism of  $\mathcal{D}_{\mathfrak{C}}^0\left(\frac{d}{c}\right)$ . Since each  $H_v$  is connected, both  $G\{H_v\}$  and  $G$  have the same number of connected components, hence  $\beta_0$  extends to a functor from  $\mathcal{D}_{\mathfrak{C}}^0\left(\frac{d}{c}\right)$  to the discrete category  $\mathbb{N}$ . If  $G\{H_v\} \rightarrow G$  is a morphism of  $\mathcal{D}_{\mathfrak{C}}^1\left(\frac{d}{c}\right)$  then each  $H_v$  is in  $\mathbf{Gr}_{\text{di}}^\uparrow$ , hence contractible, so  $\beta_1(G\{H_v\}) = \beta_1(G)$ . Thus  $\beta_1$  extends to a functor from  $\mathcal{D}_{\mathfrak{C}}^1\left(\frac{d}{c}\right)$  to the discrete category  $\mathbb{N}$ .

We have thus shown that the extension categories split, that is, that

$$\mathcal{D}_{\mathfrak{C}}^i\left(\frac{d}{c}\right) = \coprod_{j \geq 0} \beta_i^{-1}(j).$$

This implies that the colimits split, so we have

$$F^i\mathcal{P}\left(\frac{d}{c}\right) = \operatorname{colim}_{\mathcal{D}_{\mathfrak{C}}^i\left(\frac{d}{c}\right)} \mathcal{P}[G] = \coprod_{j \geq 0} \operatorname{colim}_{\beta_i^{-1}(j)} \mathcal{P}[G] \quad (10)$$

and this splitting is respected by the maps  $(F^i f)_{(c;d)} : F^i\mathcal{P}\left(\frac{d}{c}\right) \rightarrow F^i\mathcal{Q}\left(\frac{f d}{f c}\right)$  for any  $f : \mathcal{P} \rightarrow \mathcal{Q}$ .

Suppose that  $G$  is any graph. We then have  $\beta_0(G) = 0$  if and only if  $G \in \mathbf{Gr}_c^\uparrow$ . In this case, we also have  $\beta_1(G) = 0$  if and only if  $G \in \mathbf{Gr}_{\text{di}}^\uparrow$ .



**Proposition 4.2.** *For  $i = 0, 1$ , there is a splitting*

$$F^i \mathcal{P} \left( \begin{array}{c} \underline{d} \\ \underline{c} \end{array} \right) = \mathcal{P} \left( \begin{array}{c} \underline{d} \\ \underline{c} \end{array} \right) \amalg \tilde{F}_{(\underline{c}; \underline{d})}^i(\mathcal{P}),$$

*functorial in maps  $\mathcal{P} \rightarrow \mathcal{Q}$  of simplicial properads (for  $i = 0$ ) or simplicial dioperads (for  $i = 1$ ).*

*Proof.* The splitting comes from (10). We already mentioned that this splitting extends to maps. Set

$$\tilde{F}_{(\underline{c}; \underline{d})}^i(\mathcal{P}) = \coprod_{j \geq 1} \operatorname{colim}_{\beta_i^{-1}(j)} \mathcal{P}[G] = \operatorname{colim}_{\beta_i^{-1}[1, \infty)} \mathcal{P}[G].$$

The subcategory  $\beta_i^{-1}(0) \subset \mathcal{D}_{\underline{c}}^i(\underline{d})$  contains a terminal object  $C_{(\underline{c}; \underline{d})}$  (cf. [9, 2.12]), so

$$\operatorname{colim}_{\beta_i^{-1}(0)} \mathcal{P}[G] = \mathcal{P}[C_{(\underline{c}; \underline{d})}] = \mathcal{P} \left( \begin{array}{c} \underline{d} \\ \underline{c} \end{array} \right).$$

□

This proposition and its proof shows that the unit of the adjunction (9) is injective, so we have the following corollary.

**Corollary 4.3.** *The functor  $F^i$  is faithful.*

□

**Proposition 4.4.** *The adjunctions*

$$\mathbf{sCat} \rightleftarrows \mathbf{sDioperad} \begin{array}{c} \xrightarrow{F^1} \\ \xleftarrow{U^1} \end{array} \mathbf{sProperad}$$

and

$$\mathbf{sCat} \rightleftarrows \mathbf{sProperad} \begin{array}{c} \xrightarrow{F^0} \\ \xleftarrow{U^0} \end{array} \mathbf{sProp}$$

over  $\mathbf{sCat}$  are categorically well-behaved.

*Proof.* For uniformity of argument, we will generically write

$$F: \mathbf{sCat} \rightleftarrows \mathbf{sDioperad}: U \quad \& \quad F: \mathbf{sCat} \rightleftarrows \mathbf{sProperad}: U$$

for the adjunctions to  $\mathbf{sCat}$  and

$$\mathbf{Gr} \left( \begin{array}{c} \underline{d} \\ \underline{c} \end{array} \right) = \begin{cases} \mathbf{Gr}^\uparrow \left( \begin{array}{c} \underline{d} \\ \underline{c} \end{array} \right) & i = 0 \\ \mathbf{Gr}_c^\uparrow \left( \begin{array}{c} \underline{d} \\ \underline{c} \end{array} \right) & i = 1. \end{cases}$$

The first condition is automatic since  $\mathcal{P}$  and  $U^i F^i \mathcal{P}$  have the same set of colors, which gives the object set for  $\pi_0 U \mathcal{P}$  and  $\pi_0 U U^i F^i \mathcal{P}$ .

Since  $F^i$  is faithful by Corollary 4.3, we know that the map on isomorphism sets is injective. Suppose that we have an isomorphism

$$\alpha \in \pi_0(U U^i F^i \mathcal{P})(x, y).$$

We wish to show that  $\alpha$  was actually already in  $\pi_0(U \mathcal{P})(x, y)$ . Then  $\alpha$  is represented by the image  $\bar{a}$  of some vertex

$$a \in \mathcal{P}[G]_0 \rightarrow F^i \mathcal{P} \left( \begin{array}{c} y \\ x \end{array} \right)_0$$

for some  $G \in \mathbf{Gr} \left( \begin{array}{c} y \\ x \end{array} \right)$ . Let  $a' \in \mathcal{P}[G']_0 \rightarrow F^i \mathcal{P} \left( \begin{array}{c} x \\ y \end{array} \right)_0$  be a vertex whose image  $\bar{a}'$  represents  $\alpha^{-1} \in \pi_0(U U^i F^i \mathcal{P})(y, x)$ . Consider the graph  $G' \circ_1 G \in \mathbf{Gr} \left( \begin{array}{c} x \\ x \end{array} \right)$ ,

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given by grafting the output edge of  $G$  to the input edge of  $G'$ . We know that  $\bar{a}' \circ_1 \bar{a}$  can be obtained by looking at  $a' \circ_1 a \in \mathcal{P}[G' \circ_1 G]$ ; we then have

$$\begin{array}{ccc} \mathcal{P}[G' \circ_1 G] & \longrightarrow & F^i \mathcal{P}(x) \longleftarrow \mathcal{P}[C_{(x;x)}] \\ a' \circ_1 a & \longmapsto & \bar{a}' \circ_1 \bar{a} \sim \text{id}_x \longleftarrow \text{id}_x \end{array}$$

Since  $\bar{a}' \circ_1 \bar{a}$  and  $\text{id}_x$  represent the same element of  $\pi_0 F^i \mathcal{P}(x)$ , we have  $\beta_i(G' \circ_1 \bar{G}) = \beta_i C_{(x;x)} = 0$ . But by Mayer-Vietoris (for reduced homology),  $\beta_i(G') + \beta_i(G) = \beta_i(G' \circ_1 G)$ , hence  $\beta_i(G) = 0$  as well. Thus the image of  $a$  in  $F^i \mathcal{P}(y)$  is represented by an element  $a'' \in \mathcal{P}(y)_0$  by Proposition 4.2. It follows that  $\alpha = [a] = [a''] \in \pi_0(U\mathcal{P})(x, y)$ , as we wished to show.  $\square$

## 5. The model structure on simplicial properads

In Definition 3.8, we gave two sets of maps of  $\mathbf{sProp}^{\text{Gr}}$ . We now give two sets of maps of  $\mathbf{sCat}$ . If  $X$  is a simplicial set, write  $\mathcal{G}_{1,1}[X]$  for the simplicial category with two objects  $x, y$  and

$$\begin{array}{ll} \text{Hom}(x, x) = \Delta[0] & \text{Hom}(x, y) = X \\ \text{Hom}(y, y) = \Delta[0] & \text{Hom}(y, x) = \emptyset. \end{array}$$

As in Definition 3.6, we consider  $\mathcal{G}_{1,1}[-]$  as a functor from  $\mathbf{sSet}$  to  $\mathbf{sCat}$ . Let  $\mathcal{I}$  be the category with one object  $x$  and no non-identity morphisms. We consider the class of simplicial categories  $\mathcal{H}$  with two objects  $x$  and  $y$ , weakly contractible function complexes, and only countably many simplices in each function complex. Furthermore, we require that each such  $\mathcal{H}$  is cofibrant in the Dwyer-Kan model category structure on  $\mathbf{sCat}_{\{x,y\}}$  [6, 7.1.(iii)]. Let  $\mathbf{H}$  denote a set of representatives of isomorphism classes of such categories.

**Definition 5.1.** The set  $I_0$  consists of the following simplicial functors:

- (C1) For  $p \geq 0$ , the maps  $\mathcal{G}_{1,1}[\partial\Delta[p]] \rightarrow \mathcal{G}_{1,1}[\Delta[p]]$ .
- (C2) The  $\mathbf{sSet}$ -functor  $\emptyset \hookrightarrow \mathcal{I}$ .

The set  $J_0$  consists of the following simplicial functors:

- (A1) For  $p \geq 0$  and  $0 \leq k \leq p$ , the maps  $\mathcal{G}_{1,1}[\Lambda[k, p]] \rightarrow \mathcal{G}_{1,1}[\Delta[p]]$ .
- (A2) The  $\mathbf{sSet}$ -functors  $\mathcal{I} \hookrightarrow \mathcal{H}$  for  $\mathcal{H} \in \mathbf{H}$  which take  $x$  to  $x$ .

Note that (C1) and (A1) give non-empty intersections  $F_1(I_0) \cap I$  and  $F_1(J_0) \cap J$ .

**Theorem 5.2** (Characterization of fibrations). *A map  $f \in \mathbf{sProp}^{\text{Gr}}$  is a fibration in the sense of Definition A if and only if  $f \in (F_1 J_0 \cup J)^{\square}$ .*

*Proof.* By Proposition 3.9,  $f \in J^{\square}$  if and only if  $f$  satisfies (F1). By Lemma 1.2,  $(F_1 J_0)^{\square} = U_1^{-1}(J_0^{\square})$ , and  $J_0^{\square}$  is the class of fibrations in  $\mathbf{sCat}$ . Thus  $f : \mathcal{P} \rightarrow \mathcal{Q} \in (F_1 J_0)^{\square}$  if and only

if  $U_1(f)$  is a fibration in  $\mathbf{sCat}$  if and only if  $\pi_0(f)$  is an isofibration and  $f : \mathcal{P}(\underline{d}) \rightarrow \mathcal{Q}(\underline{f}, \underline{c})$  is a fibration for all  $c, d$ .

Thus maps in  $(F_1 J_0 \cup J)^\square = (F_1 J_0)^\square \cap J^\square$  satisfy both (F1) and (F2). Moreover, if  $f$  satisfies (F1) and (F2), then  $U_1(f)$  is a fibration in  $\mathbf{sCat}$  and  $f \in J^\square$ , hence  $f \in (F_1 J_0)^\square \cap J^\square$ .  $\square$

**Theorem 5.3.** *The category  $\mathbf{sProperad}$  of all small properads admits a (cofibrantly-generated) model structure with fibrations and weak equivalences as in Definition A. The set  $F_1 I_0 \cup I$  is a set of generating cofibrations and the set  $F_1 J_0 \cup J$  is a set of generating acyclic cofibrations.*

*Proof.* We will apply Proposition 1.5, using the adjunctions<sup>8</sup>

$$\mathbf{M} = \mathbf{sCat} \begin{array}{c} \xleftarrow{F_1} \\ \xrightarrow{U_1} \end{array} \mathbf{sProperad} \begin{array}{c} \xleftarrow{F_2} \\ \xrightarrow{U_2} \end{array} \mathbf{sProp}.$$

In this situation,  $\mathcal{W}_{\mathbf{P}} = U_0^{-1} \mathcal{W}_{\mathbf{M}} \cap U_2^{-1} \mathcal{L}$ .

First note that  $\mathbf{sProperad}$  is complete and cocomplete. Clearly  $\emptyset$  and  $\mathcal{I}$  are finite. By the characterization of Definition 3.6 and a variation on [12, 3.1.2], we also have that  $\mathcal{G}_{n,m}[\partial\Delta[p]]$  and  $\mathcal{G}_{n,m}[\Lambda[k,p]]$  are finite. This implies that all of these objects are small relative to both  $(F_0 J_0 \cup I)$ -cell and  $(F_0 J_0 \cup J)$ -cell, so (D) and (E) both hold. (C) is established by Theorem 3.5. Corollary 3.10 ensures that (F) holds.

Consider the class  $\mathcal{L} \subset \mathbf{sProperad}$  of local equivalences. To show that  $F_2^{-1}(U_2^{-1} \mathcal{L}) \subset \mathcal{L}$ , suppose that  $f : \mathcal{P} \rightarrow \mathcal{Q}$  is in the former class. Using Proposition 4.2 we then have a diagram

$$\begin{array}{ccc} \mathcal{P}(\underline{d}) & \xrightarrow{f} & \mathcal{Q}(\underline{f}, \underline{c}) \\ \downarrow & & \downarrow \\ \mathcal{P}(\underline{d}) \amalg X & \xrightarrow{F_2(f)} & \mathcal{Q}(\underline{f}, \underline{c}) \amalg Y \end{array}$$

By Proposition 4.2,  $F_2(f)$  is a coproduct  $f \amalg (X \rightarrow Y)$ . By assumption  $F_2(f)$  is a weak equivalence in  $\mathbf{sSet}$ , hence so is  $f$ . This shows

$$F_2^{-1}(U_2^{-1} \mathcal{L}) \subset \mathcal{L}. \quad (11)$$

Suppose that  $F_2(f) \in \mathcal{W}_{\mathbf{P}} = (U_0^{-1} \mathcal{W}_{\mathbf{M}}) \cap (U_2^{-1} \mathcal{L})$ . Since  $F_2(f) \in U_0^{-1} \mathcal{W}_{\mathbf{M}}$ , we know that  $\pi_0 U_0 F_2(f)$  is essentially surjective, hence so is that  $\pi_0 U_1(f)$  by Proposition 4.1. Since  $F_2(f) \in U_2^{-1} \mathcal{L}$ , we know (from the previous paragraph) that  $f \in \mathcal{L}$ , hence  $U_1(f)$  is levelwise an equivalence. Thus  $\pi_0 U_1(f)$  is fully-faithful so  $\pi_0 U_1(f)$  is an equivalence of categories and we have

$$F_2^{-1}(\mathcal{W}_{\mathbf{P}}) = F_2^{-1}\left((U_0^{-1} \mathcal{W}_{\mathbf{M}}) \cap (U_2^{-1} \mathcal{L})\right) \subset U_1^{-1}(\mathcal{W}_{\mathbf{M}}). \quad (12)$$

Combining (11) and (12), we then have

$$F_2^{-1}(\mathcal{W}_{\mathbf{P}}) = F_2^{-1}\left((U_0^{-1} \mathcal{W}_{\mathbf{M}}) \cap (U_2^{-1} \mathcal{L})\right) \subset U_1^{-1}(\mathcal{W}_{\mathbf{M}}) \cap \mathcal{L} = \mathcal{W}_{\mathbf{N}},$$

namely that (G) holds.

The fact that (A) holds for  $\mathbf{M} = \mathbf{sCat}$  is the main theorem of [1]. In the case of the adjunction  $\mathbf{N} = \mathbf{sProperad} \rightleftarrows \mathbf{sProp} = \mathbf{P}$ , we have that (B) holds by the main theorem of [9]. Then apply Proposition 1.5 to get the appropriate model structure on  $\mathbf{sProperad}$ .  $\square$

<sup>8</sup>The adjoint pair  $(F_2, U_2)$  was called  $(F^0, U^0)$  in the previous section.

**Theorem 5.4.** *The category  $\mathbf{sDioperad}$  admits a (cofibrantly-generated) model structure with fibrations and weak equivalences as in Definition A.*

*Proof.* As in the proof of the previous theorem, one applies Proposition 1.5, this time using the adjunction  $\mathbf{N} = \mathbf{sDioperad} \rightleftarrows \mathbf{sProperad} = \mathbf{P}$ . The only change necessary (other than changing ‘ $\mathbf{sProperad}$ ’ to ‘ $\mathbf{sDioperad}$ ’) is that (B) for  $\mathbf{P} = \mathbf{sProperad}$  is Theorem 5.3.  $\square$

*Remark 5.5.* The method used in the previous two theorems does not apply to get a model structure on the category  $\mathbf{N} = \mathbf{sOperad}$  of simplicially-enriched operads. We cannot apply 1.5 with  $\mathbf{P} = \mathbf{sProp}$ ,  $\mathbf{sProperad}$ , or  $\mathbf{sDioperad}$ , since (B) will not hold for such  $\mathbf{P}$ : operads have far fewer underlying entries than props, properads, and dioperads.

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