IGHER STRUCTURES

Operadic categories and their skew monoidal categories of collections

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Abstract

I describe a generalization of the notion of operadic category due to Batanin and Markl. For each such operadic category I describe a skew monoidal category of collections, such that a monoid in this skew monoidal category is precisely an operad over the operadic category. In fact I describe *two* skew monoidal categories with this property. The first has the feature that the operadic category can be recovered from the skew monoidal category of collections; the second has the feature that the right unit constraint is invertible. In the case of the operadic category S of finite sets and functions, for which an operad is just a symmetric operad in the usual sense, the first skew monoidal category has underlying category [N, **Set**], and the second is the usual monoidal category of collections [P, **Set**] with the substitution monoidal structure.

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1. Introduction

In the beginning [5, 13], an operad was a formalism for describing certain sorts of internal structures in a symmetric monoidal category. For each natural number n one specified the n-ary operations which could be defined in the structure as well as various equations which hold between these operations. There would typically be an action of the symmetric group S_n which allowed the input variables to be permuted, but there was also a "non-symmetric" or "plain" flavour of operad which did not involve these actions.

The renaissance of operads [12] which was celebrated in the mid-1990s saw not just a renewed interest in operads but an explosion of new flavours of operad. These included "coloured" versions (also known as multicategories, symmetric or otherwise), higher globular operads [3], and modular operads [6]. There were various approaches to incorporate many of these into a single framework [7, 11]

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This expansion in the scope of operads has continued, and several new frameworks have appeared recently. One of these is the *operator categories* of Barwick [2]; another, more general, is the *operadic categories* of the title, introduced by Batanin and Markl in [4]. For each such operadic category, there is a corresponding notion of operad. Thus there is an operadic category for symmetric operads, another for plain operads, another for coloured versions of these (for a given set of colours), and still others for other notions of operad. These operadic categories were put to spectacular use in proving a duoidal version of the Deligne conjecture.

In the original (symmetric) operads, the category \mathbb{P} of finite cardinals and bijections (equivalently, the disjoint union of the symmetric groups) plays a key role. For a symmetric monoidal category \mathcal{V} with colimits preserved by tensoring, the functor category $[\mathbb{P}, \mathcal{V}]$ has a (non-symmetric) monoidal structure, and a monoid with respect to this monoidal structure is precisely a symmetric operad in \mathcal{V} [8]. An object of $[\mathbb{P}, \mathcal{V}]$ is sometimes called a *collection* in \mathcal{V} , and consists of an object T_n of \mathcal{V} for each $n \in \mathbb{N}$, equipped with an action of the symmetric group. The monoidal category of such collections is sometimes written as $Coll(\mathcal{V})$.

In the case of plain operads there are no actions of the symmetric groups, and so rather than \mathbb{P} one uses the discrete category \mathbb{N} consisting of just the finite cardinals and identity morphisms; there is once again a monoidal structure on $[\mathbb{N}, \mathcal{V}]$ with respect to which the monoids are the plain operads in \mathcal{V} .

In this paper, I shall introduce a mild generalization of the operadic categories of [4], and for each such "generalized operadic category" C I shall define a *skew* monoidal category [14, 9] of collections $\operatorname{Coll}_{\mathcal{C}}(\mathcal{V})$. Skew monoidal categories are similar to monoidal categories except that the unit and associativity maps are not required to be invertible. The most important case of this construction is where \mathcal{V} is just the category **Set** of sets and functions, equipped with the usual cartesian monoidal structure. The skew monoidal category $\operatorname{Coll}_{\mathcal{C}}(\operatorname{Set})$ is equipped with an opmonoidal functor into [N, **Set**]. I shall write $\operatorname{Coll}_{\mathcal{C}}(\operatorname{Set})$.

I shall show that the generalized operadic category C can be recovered from Coll_{C} along with its opmonoidal functor into $[\mathbb{N}, \mathbf{Set}]$, and I characterize which skew monoidal categories over $[\mathbb{N}, \mathbf{Set}]$ arise in this way, and further characterize those corresponding to the genuine operadic categories of [4]. This provides a new, but equivalent, definition of operadic category, as well as a rather different point of view. I regard this as the main contribution of the paper. (The mild generalization in the definition seems far less important, although it does allow a cleaner way for presheaves to be seen as operads.)

If we start with the operadic category S for symmetric operads, the resulting skew monoidal category Coll_S is *not* just $\operatorname{Coll}(\operatorname{Set}) = [\mathbb{P}, \operatorname{Set}]$; in fact as a category it is $[\mathbb{N}, \operatorname{Set}]$, but with a different skew monoidal structure to that mentioned above. Nonetheless, there is a way to recover $\operatorname{Coll}(\operatorname{Set})$ from Coll_S . For a large class of skew monoidal categories $(\mathcal{E}, *, U)$, there is a way [10] to associate a new skew monoidal category \mathcal{E}^U for which the right unit map $X \to X \otimes U$ is invertible, and such that the two skew monoidal categories have the same category of monoids. (A skew monoidal category for which the right unit maps are invertible is said to be *right normal*.) When this construction is applied to Coll_S the resulting skew monoidal category Coll_S^U is in fact monoidal, and is monoidally equivalent to $\operatorname{Coll}(\operatorname{Set})$. This is the second main contribution of the paper.

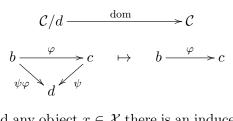
When the construction is applied to $\operatorname{Coll}_{\mathcal{C}}$ for a general operadic category \mathcal{C} , the resulting skew monoidal category $\operatorname{Coll}_{\mathcal{C}}^U$ may not be a monoidal category, but I give sufficient conditions under which it is so.

The structure of the paper is as follows. I begin, in Section 2, with the generalized notion of

operadic category, its relationship to the operadic categories of [4], and a few key examples. In fact I use "operadic category" for the new more general notion, and speak of "genuine operadic categories" when I wish to refer to the original notion of [4]. In Section 3, I define the skew monoidal category Coll_C associated to an operadic category. Section 4 is about C-operads for an operadic category C; that is, about monoids in Coll_C. I describe in Section 5 the dependence of the Coll_C construction on C, and in Section 6 the modifications needed when collections are taken in a monoidal category \mathcal{V} other than **Set**. Then in Section 7 the characterization of those skew monoidal categories over Coll_S arising from an operadic category is given. In Sections 8 and 9 I show how to replace Coll_C with a right normal skew monoidal category Coll^U_C with the same category of monoids (that is, operads), as well as giving a sufficient condition for Coll^U_C to be monoidal. Finally in Section 10 I describe various examples of operadic categories, and determine in each case whether the sufficient condition holds.

2. Operadic categories

For an object d of a category C, the "slice category" C/d has morphisms with codomain d as objects, and commutative triangles as morphisms. It is equipped with a functor dom: $C/d \to C$ sending an object of C/d to the domain of the corresponding morphism.



For any functor $F: \mathcal{X} \to \mathcal{C}$ and any object $x \in \mathcal{X}$ there is an induced functor $F/x: \mathcal{X}/x \to \mathcal{C}/Fx$ sending $\psi: y \to x$ to $F\psi: Fy \to Fx$. In particular, for the functor dom: $\mathcal{C}/d \to \mathcal{C}$ and any $\psi: c \to d$, the induced dom/ $\psi: (\mathcal{C}/d)/(\psi: c \to d) \to \mathcal{C}/c$ is an isomorphism of categories.

For a set I, there are two possible ways to define the category of I-indexed sets: as \mathbf{Set}^{I} or as \mathbf{Set}/I . Of course these two are equivalent, via the functor $\mathbf{Set}/I \to \mathbf{Set}^{I}$ sending a set over I to its fibres.

Notation 2.1. Throughout this paper S will denote (any skeleton of) the category of finite sets.

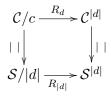
If I is a finite set (in S) then the equivalence $\mathbf{Set}/I \simeq \mathbf{Set}^I$ clearly restricts to an equivalence $R_I: S/I \to S^I$. This is determined only up to isomorphism; we shall suppose a fixed choice to have been made.

If we move from categories of sets to some other category \mathcal{C} , these two approaches to families are no longer equivalent, or even directly comparable. There is still the category \mathcal{C}^I of *I*-indexed families in \mathcal{C} : this is an "external" notion of family. But there is also the "internal" version of indexed family, where the indexation is done using an object $X \in \mathcal{C}$ rather than a set *I*, and now \mathcal{C}/X can be thought of as the category of "*X*-indexed families of objects in \mathcal{C} ". Both of these are important: among other things, the first is fundamental to the theory of enriched categories and the second is fundamental to the theory of internal categories. In an operadic category the equivalence between the internal and external notions is partially restored.

I shall now introduce the promised generalization of the operadic categories of [4]. For the precise relationship between the two definitions, see Proposition 2.4 below.

Definition 2.2. An *operadic category* is a category C equipped with the following structure:

- 1. a functor $| : \mathcal{C} \to \mathcal{S}$, which we call the *cardinality functor*;
- 2. for each object $d \in \mathcal{C}$ a functor $R_d \colon \mathcal{C}/d \to \mathcal{C}^{|d|}$ making the diagram



commute;

subject to some conditions to which we shall soon turn. First, however, we introduce some notation and terminology. For a morphism $\psi: c \to d$ in \mathcal{C} , the functor R_d gives a |d|-indexed family of objects of \mathcal{C} . The *i*th of these, for some $i \in |d|$, will be written as $\psi^{-1}i$; these $\psi^{-1}i$ will be called the *fibres* of ψ . For morphisms $\varphi: b \to c$ and $\psi: c \to d$, seen as defining a morphism $\varphi: (\psi\varphi) \to \psi$ in \mathcal{C}/d , we sometimes write $\varphi^{\psi}: R(\psi\varphi) \to R(\psi)$ for its image under R_d .

An object $u \in C$ is said to be *trivial* if |u| = 1 and $R_u = \text{dom}$. The commutativity of the square implies that $|\varphi^{-1}i| = |\varphi|^{-1}i$. In particular, if $u = 1_c^{-1}i$ is a fibre of an identity morphism, then |u| = 1. We shall often omit the subscript and simply write R for R_d .

We now turn to the conditions.

- 3. Any fibre $1_d^{-1}i$ of an identity morphism is trivial;
- 4. For any morphism $\psi : c \to d$, the diagram

$$\frac{(\mathcal{C}/d)/(\psi \colon c \to d) \xrightarrow{R/\psi} \mathcal{C}^{|d|}/R\psi}{\operatorname{dom}/\psi} \prod_{\substack{j \in |d| \\ \psi \in \mathcal{C}/c \xrightarrow{R}}} \mathcal{C}^{|c|} = \prod_{\substack{j \in |d| \\ j \in |d| \\ \psi \in \mathcal{C}/c \xrightarrow{R}}} \frac{\int_{|\psi^{-1}j|} \mathcal{C}^{|\psi^{-1}j|}}{\int_{|\psi^{-1}j|}}$$

commutes (the "double slice condition"). Here the "equality" on the lower line is defined using the equality $|\psi^{-1}j| = |\psi|^{-1}j$ and the canonical isomorphism $|c| \cong \sum_j |\psi|^{-1}j$. The object part of this double slice condition then says that for a composable pair $\varphi \colon b \to c$, $\psi \colon c \to d$, and $i \in |c|$, the equation $(\varphi^{\psi}_{|\psi|j})^{-1}i = \varphi^{-1}i$ holds.

The last condition says roughly that the fibres of φ can be recovered by amalgamating the "local fibres" over d.

We often write U for the set of all trivial objects.

Proposition 2.3. In an operadic category, an object u is trivial if and only if it is a fibre of 1_u .

Proof. Any fibre of an identity morphism is trivial. Conversely, if u is trivial, then |u| = 1 and the (unique) fibre of any morphism $c \to u$ is c; in particular, the fibre of 1_u is u.

We record the relationship with the operadic categories of Batanin-Markl as the following proposition. In fact the only notion defined in [4] is called a *strict* operadic category; we shall call it a *genuine* operadic category when we wish to distinguish it from the "generalized" operadic categories considered here. (We never deal with the "non-strict" notion in which the commutative diagrams in conditions (2) and (4) of the definition are replaced by isomorphisms, satisfying as yet unspecified coherence conditions.)

Proposition 2.4. A strict operadic category in the sense of [4] is precisely an operadic category, in the sense of Definition 2.2, in which each connected component has a chosen terminal object, these objects are trivial, and they are the only trivial objects.

A strict operadic functor between operadic categories C and D will be a functor $F: C \to D$ which strictly commutes with both the functors into S and the functors R, in the sense that the diagrams



commute; the second makes sense because |Fc| = |c| by commutativity of the first. We write **OpCat** for the category of operadic categories and strict operadic functors.

Proposition 2.5. A strict operadic functor sends trivial objects to trivial objects.

Proof. If $F: \mathcal{C} \to \mathcal{D}$ is a strict operadic functor and u is trivial in \mathcal{C} , then |Fu| = |u| = 1; while u is a fibre of 1_u and so Fu is a fibre of $F1_u = 1_{Fu}$; thus Fu is also trivial.

Remark 2.6. The strict operadic functors of [4] are required to strictly preserve the chosen terminal objects, but this just amounts to preserving the trivial objects.

Example 2.7. The category S itself is operadic, with | | given by the identity functor. In fact S is the terminal operadic category, in the sense that for any operadic category C, there is a unique strict operadic functor $C \to S$, given by the cardinality functor | |.

Example 2.8. The category \mathcal{P} of finite ordinals and order-preserving functors is operadic; the cardinality functor forgets the order, and the R are constructed using the fibres with their induced ordering. (The category \mathcal{P} has been given various names over the years. It contains the simplex category Δ as the full subcategory of all non-empty finite ordinals. When \mathcal{P} is made into a monoidal category via ordinal sum, it is sometimes called the "algebraists' Δ ", but this monoidal structure will not be used here. The letter \mathcal{P} has been chosen to suggest *plain* operads, as opposed to the *symmetric* operads corresponding to \mathcal{S} .

Example 2.9. As observed in [4], a *category of operators* in the sense of Barwick [2] is an operadic category C with finite hom-sets and a terminal object 1, in which the cardinality functor is the S-valued representable functor C(1, -), and the fibres are the actual fibres defined using pullback.

Our first example which does *not* satisfy the extra condition in [4] is:

Example 2.10. Any category \mathcal{A} becomes an operadic category when we define the cardinality |a| of any object a to be 0. Then there are no fibres and no trivial objects.

Batanin and Markl also describe how to make any category \mathcal{A} into an operadic category in their sense: one freely adds a terminal object and makes this object trivial, while all objects from the original category have cardinality 0. I shall write \mathcal{A}_1 for this operadic category, and I shall have more to say about the difference between \mathcal{A} and \mathcal{A}_1 below. The next example is closely related to [1, Chapter 3].

Example 2.11. Any category \mathcal{A} becomes an operadic category when we define the cardinality |a| of any object to be 1, and define each R_a to be the domain functor.

There are many further examples of operadic category given in [4]; some of these are discussed in Section 10 below.

3. The skew monoidal category of collections

A skew monoidal category [14, 9] is a category \mathcal{E} equipped with a functor $\mathcal{E} \times \mathcal{E} \to \mathcal{E}$, whose effect on (X, Y) is written X * Y, an object U, and natural transformations

$$(X * Y) * Z \xrightarrow{\alpha} X * (Y * Z)$$
$$U * X \xrightarrow{\lambda} X$$
$$X \xrightarrow{\rho} X * U$$

subject to five axioms which are recalled below. These natural transformations are not required to be invertible, but it is useful to be able to discuss the case when some or all of them are so. The skew monoidal category is said to be *left normal* if λ is invertible and *right normal* if ρ is invertible. It is said to be *Hopf* if α is invertible; of course if all three are invertible then it is just a monoidal category.

In this section we shall show how to construct a skew monoidal category $\operatorname{Coll}_{\mathcal{C}}(\operatorname{Set})$, or $\operatorname{Coll}_{\mathcal{C}}$ for short, from any operadic category \mathcal{C} .

Let \mathcal{C} be an operadic category, and write C for the set of objects of \mathcal{C} . The underlying category of $\operatorname{Coll}_{\mathcal{C}}$ will be the slice category Set/C . An object of Set/C consists of a set Xequipped with a function $\partial \colon X \to C$, but we normally regard ∂ as understood and simply call the object X. Given such an object X and an element $c \in C$ we write X_c for the (actual!) fibre $\partial^{-1}(c)$. For $x \in X$ we write |x| for the set $|\partial(x)|$.

The tensor product X * Y of X and Y is given by the formula

$$(X * Y)_c = \sum_{\varphi: \ c \to d} X_d \times \prod_{i \in |d|} Y_{\varphi^{-1}i}.$$

Thus an element of X * Y consists of a morphism $\varphi : c \to d$ in \mathcal{C} , an element $x \in X_d$, and a |d|-indexed family $y = (y_i)_{i \in |d|}$ with $y_i \in Y_{\varphi^{-1}i}$. The function $\partial : X * Y \to C$ sends such an element (x, φ, y) to the domain of φ . This clearly extends to a functor $\operatorname{Set}/C \times \operatorname{Set}/C \to \operatorname{Set}/C$ sending (X, Y) to X * Y.

The unit is the set U of trivial objects of \mathcal{C} , with ∂ given by the inclusion $U \to C$.

The remaining structure in a skew monoidal category consists of the natural transformations λ , ρ , and α , to which we now turn. An element of $(U * X)_c$ has the form (u, φ, x) , where $\varphi : c \to u$ and $u \in U$; since |u| = 1 and the unique fibre of φ is c, x just consists of a single element of X_c . We may now define the left unit constraint for our skew monoidal structure to be

$$U * X \xrightarrow{\lambda} X$$
$$(u, \varphi, x) \longmapsto x$$

which is clearly natural in X.

Remark 3.1. The left unit map λ is invertible if and only if every object c has a unique map to some trivial object u. If this is the case, we may write $!_c: c \to u(c)$ for this map. For any morphism $\varphi: c \to d$, the composite

$$c \xrightarrow{\varphi} d \xrightarrow{!_d} u(d)$$

is a morphism to a trivial object, so by uniqueness u(d) = u(c) and this composite is the unique map. Thus it follows that each connected component of C has a chosen terminal object, and this terminal object is trivial. It further follows that these chosen terminal objects are the only trivial objects. Thus λ will be invertible if and only if our "generalized" operadic category is a genuine operadic category in the sense of [4].

We shall say that a morphism φ is *fibrewise trivial* if all of its fibres are trivial. Part of the definition of operadic category is that identity morphisms are fibrewise trivial. In the operadic categories \mathcal{P} and \mathcal{S} a morphism is fibrewise trivial if and only if it is bijective.

An element of $(X * U)_c$ has the form (x, φ, u) , where $\varphi : c \to \partial(x)$ must be fibrewise trivial in order to define u. Thus we may define the right unit constraint for our skew monoidal structure to be

$$\begin{array}{ccc} X & \xrightarrow{\rho} & X * U \\ x & \longmapsto & (x, 1_{\partial(x)}, R1_{\partial(x)}) \end{array}$$

which once again is clearly natural in X.

Remark 3.2. The right unit map ρ will be invertible if and only if the only fibrewise trivial morphisms are the identities. This is true in \mathcal{P} but not in \mathcal{S} (or most other examples).

Next we turn to the associativity map α . First we describe (X * Y) * Z and X * (Y * Z). An element of $((X * Y) * Z)_c$ involves a morphism $\varphi : c \to d$, an element of $(X * Y)_d$, and a |d|-indexed family z with $z_i \in Z_{\varphi^{-1}i}$; and an element of $(X * Y)_d$ will consist of a morphism $\psi : d \to e$, an element $x \in X_e$, and a |e|-indexed family y with $y_i \in Y_{\psi^{-1}i}$. We denote such an object with (x, ψ, y, φ, z) .

An element of $(X * (Y * Z))_c$ consists of a morphism $\theta : c \to e$, an element $x \in X_e$, and an |e|-indexed family (y, τ, z) with $(y, \tau, z)_j \in (Y * Z)_{\theta^{-1}j}$. Here $\tau_j : \theta^{-1}j \to v_j$ and $y_j \in Y_{v_j}$, while z_j is a $|v_j|$ -indexed family with $(z_j)_i \in Z_{\tau_j^{-1}i}$. We may collect all the v_j into an object $v \in \mathcal{C}^{|e|}$, and all the τ_j into a single morphism $\tau : R(\theta) \to v$ in $\mathcal{C}^{|e|}$. We now define the associativity constraint for the skew monoidal structure to be

$$(X * Y) * Z \xrightarrow{\alpha} X * (Y * Z)$$
$$(x, \psi, y, \varphi, z) \longmapsto (x, \psi\varphi, y, \varphi^{\psi}, z)$$

which is once again clearly natural. (Recall that $\varphi^{\psi} \colon R(\psi\varphi) \to R(\psi)$ is the image under $R_d \colon \mathcal{C}/d \to \mathcal{C}^{[d]}$ of the morphism $\varphi \colon (\psi\varphi \colon b \to d) \to (\psi \colon c \to d)$ in \mathcal{C}/d .)

Remark 3.3. When is α invertible? In particular this would imply that $\alpha : (C*C)*C \to C*(C*C)$ is invertible. Given $\theta : c \to e$ and $\tau : R(\theta) \to d$, in the form $\tau_j : \theta^{-1}j \to d_j$ for $j \in |e|$, there needs to be a unique $\varphi : c \to d$ and $\psi : d \to e$ with $\psi \varphi = \theta$ and $\varphi_j^{\psi} = \tau_j$; that is, a unique $\varphi : \theta \to \psi$ in \mathcal{C}/e with $R\psi = d$ and $R(\varphi) = \tau$. This in turn says that each $R: \mathcal{C}/e \to \mathcal{C}^{|e|}$ is a discrete opfibration. Conversely, it is not hard to check that, not just $\alpha : (C*C)*C \to C*(C*C)$, but all the components of α are then invertible.

In the example of S, the R functors are equivalences, but are not discrete opfibrations, so α is not invertible. In the case of \mathcal{P} , however, the R are in fact isomorphisms, so α is invertible.

Now we turn to the axioms [14, 9] for skew monoidal categories. For monoidal categories (where α , λ , and ρ are all invertible) two axioms suffice, but for skew monoidal categories five are needed.

The (λ, ρ) -compatibility condition. This says that the composite

$$U \xrightarrow{\rho} U * U \xrightarrow{\lambda} U$$

is the identity. Since each U_c has at most one element, this is obviously true.

The (α, λ) -compatibility condition. This says that the diagram

$$(U * X) * Y \xrightarrow{\alpha} U * (X * Y)$$

$$\downarrow^{\lambda}$$

$$\chi * Y$$

commutes. Now

$$\begin{split} \lambda(\alpha(u,\psi,x,\varphi,y))) &= \lambda(u,\psi\varphi,x,\varphi^{\psi},y) \\ &= (x,\varphi^{\psi},y) \\ (\lambda*1)(u,\psi,x,\varphi,y) &= (x,\varphi,y) \end{split}$$

so the condition says that $\varphi^{\psi} = \varphi$ whenever ψ has trivial codomain; in other words:

• R_u acts on morphisms as the domain functor when u is trivial.

The (α, ρ) -compatibility condition This says that the diagram

$$X * Y \xrightarrow{\rho} (X * Y) * U$$

$$\downarrow^{\alpha}$$

$$X * (Y * I)$$

commutes. Now

$$\begin{aligned} \alpha(\rho(x,\varphi,y)) &= \alpha(x,\varphi,y,1_c,R1_c) \\ &= (x,\varphi 1_c,y,1_c^{\varphi},y,R1_c) \\ (1*\rho)(x,\varphi,y) &= (x,\varphi,y,1_c^{\varphi},R1_c) \end{aligned}$$

and so the condition says that:

- the left identity law $\varphi 1_{\operatorname{dom} \varphi} = \varphi$ holds for morphisms φ in \mathcal{C}
- the functors R_d preserve identity morphisms

The (λ, α, ρ) -compatibility condition This says that the composite

$$X * Y \xrightarrow{\rho * 1} (X * U) * Y \xrightarrow{\alpha} X * (I * Y) \xrightarrow{1 * \lambda} X * Y$$

is the identity. Now

$$(1 * \lambda)\alpha(\rho * 1)(x, \varphi, y) = (1 * \lambda)\alpha(x, 1_d, R1_d, \varphi, y)$$
$$= (1 * \lambda)(x, 1_d\varphi, R1_d, \varphi^{1_{\partial(x)}}, y)$$
$$= (x, 1_d\varphi, y)$$

and so the condition says that

• the right identity law $1_{\operatorname{cod}\varphi}\varphi = \varphi$ holds for morphisms φ in \mathcal{C} .

The pentagon. This says that the diagram

$$(W * (X * Y)) * Z \xrightarrow{\alpha} W * ((X * Y) * Z)$$

$$(W * X) * Y) * Z \xrightarrow{\alpha} W * (X * (Y * Z))$$

$$(W * X) * (Y * Z)$$

commutes. For $(w, \theta, x, \psi, y, \varphi, z) \in ((W * X) * Y) * Z$, we have

$$\begin{split} * \alpha) \alpha(\alpha * 1)(w, \theta, x, \psi, y, \varphi, z) &= (1 * \alpha) \alpha(w, \theta\psi, x, \psi^{\theta}, y, \varphi, z) \\ &= (1 * \alpha)(w, (\theta\psi)\varphi, x, \psi^{\theta}, y, \varphi^{\theta\psi}, z) \\ &= (w, (\theta\psi)\varphi, x, \psi^{\theta}\varphi^{\theta\psi}, y, (\varphi^{\theta\psi})^{\psi^{\theta}}, z) \\ \alpha\alpha(w, \theta, x, \psi, y, \varphi, z) &= \alpha(w, \theta, x, \psi\varphi, y, \varphi^{\psi}, z) \\ &= (w, \theta(\psi\varphi), x, (\psi\varphi)^{\theta}, y, \varphi^{\psi}, z) \end{split}$$

and so the pentagon is equivalent to the conditions

- $(\theta\psi)\varphi = \theta(\psi\varphi)$ (associativity of composition)
- $\psi^{\theta}\varphi^{\theta\psi} = (\psi\varphi)^{\theta}$ (functoriality of *R*)

(1)

• $(\varphi^{\theta\psi})^{\psi^{\theta}} = \varphi^{\psi}$ (double slice condition).

Later on we shall use this analysis in our characterization of operadic categories; in the meantime we record:

Theorem 3.4. If C is an operadic category and C is its set of objects, there is a skew monoidal category $\operatorname{Coll}_{\mathcal{C}}(\operatorname{Set})$ with underlying category Set/C , with tensor * given by

$$(X * Y)_c = \sum_{f: c \to d} X_d \times \prod_{i \in |d|} Y_{f^{-1}i},$$

with unit U consisting of the trivial objects, and with structure maps

$$\begin{aligned} \alpha(x,\psi,y,\varphi,z) &= (x,\psi\varphi,y,\varphi^{\psi},z)\\ \lambda(u,\varphi,x) &= x\\ \rho(x) &= (x,1_{\partial(x)},R1_{\partial(x)}) \end{aligned}$$

Example 3.5. In the case of \mathcal{P} , the object-set is \mathbb{N} , and so we obtain a skew monoidal structure on **Set**/ \mathbb{N} (or equivalently on **Set** $^{\mathbb{N}} = [\mathbb{N}, \mathbf{Set}]$). By Remarks 3.1, 3.2, and 3.3, the maps α , λ , and ρ are all invertible, and so we actually have a monoidal structure. This turns out to be use usual substitution monoidal structure on $[\mathbb{N}, \mathbf{Set}]$, with respect to which the monoids are the plain operads.

Example 3.6. In the case of S, the object-set is once again \mathbb{N} , so we obtain another skew monoidal structure on $[\mathbb{N}, \mathbf{Set}]$. This time λ is invertible, but ρ and α are not, so it is definitely not the same as in the previous example.

Example 3.7. If \mathcal{A} is just a category with object-set A, seen as an operadic category with all $|a| = \emptyset$ as in Example 2.10, then the corresponding skew monoidal structure on **Set**/A has unit 0 and tensor X * Y given by $(X * Y)_a = \{(x, \varphi) \mid \varphi \colon a \to b, x \in X_b\}$. Equivalently, this is the restriction of the left Kan extension of $X \colon A \to \mathbf{Set}$ along the inclusion $A \to \mathcal{A}^{\mathrm{op}}$. (This is independent of Y.)

4. Operads

For any skew monoidal category we can define the category of monoids. In particular we can do so for $\operatorname{Coll}_{\mathcal{C}}(\operatorname{\mathbf{Set}})$ for any operadic category \mathcal{C} . We now unravel what this means.

First we should give an object T of $\operatorname{Coll}_{\mathcal{C}}(\operatorname{Set})$. This amount to giving a set T_c for every object $c \in \mathcal{C}$.

The unit has the form of a morphism $\eta: U \to T$ in \mathbf{Set}/C . This amounts to giving, for each trivial object $u \in \mathcal{C}$, an element $\eta_u \in T_u$.

The multiplication has the form of a morphism $\mu: T * T \to T$. This amounts to giving, for each morphism $\varphi: c \to d$, each $x \in T_d$ and |d|-indexed family y with $y_i \in T_{\varphi^{-1}i}$, an element of T_c . In other words, for each $\varphi: c \to d$, we should give a function

$$T_d \times \prod_{i \in |d|} T_{\varphi^{-1}i} \xrightarrow{\mu(\varphi)} T_c$$

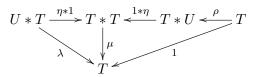
These should satisfy associativity and two unit axioms, which we now explicate. Associativity says that the diagram

$$\begin{array}{c|c} (T*T)*T & \xrightarrow{\mu*1} & T*T \\ & \alpha \\ & \alpha \\ & & \downarrow \\ T*(T*T) \xrightarrow{1*\mu} & T*T \xrightarrow{\mu} & T \end{array}$$

commutes. An element of (T * T) * T involves a pair $(\varphi : c \to d, \psi : d \to e)$; associativity then says that for any such pair, the diagram

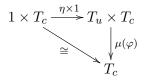
diagrams.

The unit condition say that the diagrams

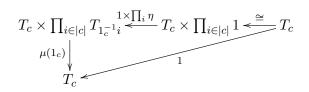


commute.

The left unit condition says that for any $\varphi: c \to u$ with trivial codomain, the diagram



commutes, and the right condition says that for any object c the diagram



commutes.

This agrees with the definition in [4], giving:

Theorem 4.1. If C is a genuine operadic category then the category $Op^{C}(\mathbf{Set})$ of operads defined in [4] is the category of monoids in $Coll_{\mathcal{C}}(\mathbf{Set})$.

The specific structure involved in a C-operad for many examples of C is spelled out explicitly in [4]; in particular, a \mathcal{P} -operad is a plain operad and an S-operad is a symmetric operad.

Example 4.2. For a category \mathcal{A} , seen as an operadic category as in Example 2.10, an \mathcal{A} -operad is precisely a presheaf on \mathcal{A} . To see this, recall that a collection consists of an A-indexed family $X = (X_a)_{a \in A}$ of sets. The unit of $\operatorname{Coll}_{\mathcal{A}}$ is the empty set (over A), and so any such family X has a unique map $U \to X$. The tensor product X * X consists of pairs ($\varphi : c \to d, x \in X_d$), and in this case $\partial(\varphi, x) = c$. Thus to give a map $\mu : X * X \to X$ is to give, for each $\varphi : c \to d$ and each $x \in X_d$, an element $x\varphi \in X_c$. Associativity of μ says that $(x\psi)\varphi = x(\psi\varphi)$, the right unit condition says that $x1_d = x$, and the left unit condition is trivial.

This gives a cleaner way to regard presheaves as operads than in [4, Example 1.16], which used \mathcal{A}_1 rather than \mathcal{A} . An \mathcal{A}_1 -operad is a presheaf on \mathcal{A} , together with an action of a monoid M in **Set**.

5. Functoriality of the sColl construction

If $\mathcal{E} = (\mathcal{E}, *, U)$ and $\mathcal{F} = (\mathcal{F}, *, V)$ are skew monoidal categories, an *opmonoidal functor* from \mathcal{E} to \mathcal{F} is a functor $F : \mathcal{E} \to \mathcal{F}$ equipped with a natural transformation $F^2 : F(X * Y) \to FX * FY$ and a morphism $F^0 : FU \to V$ satisfying three coherence conditions: one expressing coassociativity of F^2 (compatibility with the associativity maps α) and two counit conditions for F^0 (compatibility with the left unit maps λ and the right unit maps ρ). Write **SkewMonCat** for the category of skew monoidal categories and opmonoidal functors.

The goal of this section is to prove the following theorem.

Theorem 5.1. There is a functor Coll: **OpCat** \rightarrow **SkewMonCat** sending an operadic category C to the skew monoidal category Coll_C(**Set**). The operadic category C is a genuine operadic category in the sense of [4] if and only if the left unit constraint λ of Coll_C(**Set**) is invertible.

Proof. Let \mathcal{C} and \mathcal{D} be generalized operadic categories. Write D for the set of objects of \mathcal{D} , and V for the trivial ones, seen as a set over D. Let $F: \mathcal{C} \to \mathcal{D}$ be a strict operadic functor.

In particular, F determines a function $f: C \to D$ between the sets of objects, and composition with this function induces a functor $f_!: \mathbf{Set}/C \to \mathbf{Set}/D$ which has a right adjoint f^* given by pullback. For $X \in \mathbf{Set}/C$, the object $f_!(X)$ of \mathbf{Set}/D is just X as a set, but now with structure map $f\partial$.

Since f maps U to V, there is a (unique) map $F^0: f_!U \to V$ in \mathbf{Set}/D .

Let $X, Y \in \mathbf{Set}/C$. There is a map $F^2: f_!(X * Y) \to f_!(X) * f_!(Y)$ sending (x, φ, y) to $(x, F\varphi, y)$. This is clearly natural in X and Y.

We now check the coherence conditions on F^2 and F^0 . Compatibility with λ says that the composite

$$f_!(U * X) \xrightarrow{F^2} f_!(U) * f_!(X) \xrightarrow{F^0 * 1} V * f_!(X) \xrightarrow{\lambda} f_!(X)$$

is equal to $f_!(\lambda)$. An element of $f_!(U * X)_a$ has the form (u, φ, x) , where $\varphi : c \to u$ is a morphism in \mathcal{C} with trivial codomain, $x \in X_c$, and fc = a. Such an element (u, φ, x) is sent by F^2 to $(u, F\varphi, x)$, then by $F^0 * 1$ to $(Fu, F\varphi, x)$, then by λ to x; the composite of these is indeed equal to $f_!(\lambda)$.

Compatibility with ρ says that the composite

$$f_!(X) \xrightarrow{f_!(\rho)} f_!(X * U) \xrightarrow{F^2} f_!(X) * f_!(U) \xrightarrow{1 * F^0} f_!(X) * V$$

is equal to ρ . Now $f_!(\rho)$ sends x to $(x, 1_{\partial(x)}, R1_{\partial(x)})$, then F^2 sends this to $(x, F1_{\partial(x)}, R1_{\partial(x)})$, and $1 * F^0$ sends this to $(x, F1_{\partial(x)}, FR1_{\partial(x)})$. Thus compatibility with ρ amounts to the fact that

• F preserves identities.

(The fact that $FR1_{\partial(x)} = R1_{F\partial(x)}$ then follows.)

Finally, compatibility with α says that the diagram

commutes. An element of $f_!((X * Y) * Z)$ can be written as (x, ψ, y, φ, z) , say with $\varphi : c \to d$, $\psi : d \to e, x \in X_e$, and y and z are families indexed by |e| and |d|, and $y_j \in Y_{\psi^{-1}j}$ and $z_i \in Z_{\varphi^{-1}i}$. Passing along the upper path, this gets sent to $(x, \psi, y, F\varphi, z)$, then to $(x, F\psi, y, F\varphi, z)$, then to $(x, F(\psi)F(\varphi), y, F(\varphi)^{F(\psi)}, z)$. The lower path goes to $(x, \psi\varphi, y, \varphi^{\psi}, z)$, to $(x, F(\psi\varphi), y, \varphi^{\psi}, z)$, and to $(x, F(\psi\varphi), y, F(\varphi^{\psi}), x)$. Thus compatibility with α is equivalent to the following two conditions:

- F preserves composition;
- F commutes with the R functors.

Remark 5.2. Observe also that the natural transformation F^2 is *cartesian*, in the sense that the naturality squares are pullback.

6. Collections in other symmetric monoidal categories

In this section we briefly sketch what happens when collections are defined not in **Set** but in some other symmetric monoidal category \mathcal{V} . We suppose that \mathcal{V} is cocomplete, and that tensoring with a fixed object preserves colimits; this preservation condition certainly holds if \mathcal{V} is closed.

In the case $\mathcal{V} = \mathbf{Set}$ it was possible to use \mathbf{Set}/C as the underlying category of collections; for a general \mathcal{V} , we use \mathcal{V}^C instead. Apart from this change, everything goes through in essentially the same way. The tensor product is given by the formula

$$(X*Y)_c = \sum_{\varphi: \ c \to d} X_d \otimes \bigotimes_{i \in |d|} Y_{\varphi^{-1}i}$$

where the sum is over all morphism $\varphi \colon c \to d$ in \mathcal{C} . The unit U is given by

$$U_c = \begin{cases} I & \text{if } c \text{ is trivial} \\ 0 & \text{otherwise.} \end{cases}$$

The c-component of the left unit map $\lambda \colon U * X \to X$ has the form

$$\sum_{\varphi \colon c \to d} U_d \otimes \bigotimes_{i \in [d]} X_{\varphi^{-1}i} \to X_c$$

and is defined via the universal property of the coproduct. If $\varphi : c \to d$ has trivial codomain then the φ -summand is $I \otimes X_c$ and we just use the left unit map $I \otimes X_c \to X_c$ in \mathcal{V} . If $\varphi : c \to d$ has non-trivial codomain then the φ -summand is $0 \otimes \bigotimes_i X_{\varphi^{-1}i} \cong 0$, and so we use the unique map. The *c*-component of the right unit map $\rho : X \to X * U$ has the form is given by the composite

$$X_c \longrightarrow X_c \otimes \bigotimes_{i \in |c|} I = X_c \otimes \bigotimes_{i \in |c|} U_{1_c^{-1}i} \longrightarrow \sum_{\varphi: \ c \to d} X_d \otimes \bigotimes_{i \in |d|} U_{\varphi^{-1}i}$$

constructed using right unit maps in \mathcal{V} and the injection of the 1_c -summand. The associativity map $\alpha \colon (X * Y) * Z \to X * (Y * Z)$ is more complicated.

$$((X * Y) * Z)_{c} = \sum_{\varphi: c \to d} (X * Y)_{d} \otimes \bigotimes_{i \in [d]} Z_{\varphi^{-1}i}$$

$$= \sum_{\varphi: c \to d} \left(\sum_{\psi: d \to e} X_{e} \otimes \bigotimes_{j \in [e]} Y_{\psi^{-1}j} \right) \otimes \bigotimes_{i \in [d]} Z_{\varphi^{-1}i}$$

$$\cong \sum_{\varphi: c \to d} X_{e} \otimes \bigotimes_{j \in [e]} Y_{\psi^{-1}j} \otimes \bigotimes_{i \in [d]} Z_{\varphi^{-1}i}$$

$$\cong \sum_{\varphi: c \to d} X_{e} \otimes \bigotimes_{j \in [e]} \left(Y_{\psi^{-1}j} \otimes \bigotimes_{i \in [\psi]^{-1}j} Z_{\varphi^{-1}i} \right)$$

$$(X * (Y * Z))_{c} = \sum_{\theta: c \to e} X_{e} \otimes \bigotimes_{j \in [e]} (Y * Z)_{\theta^{-1}j}$$

$$= \sum_{\theta: c \to e} X_{e} \otimes \bigotimes_{j \in [e]} \left(\sum_{\omega_{j}: \theta^{-1}j \to v_{j}} Y_{v_{j}} \otimes \bigotimes_{i \in [v_{j}]} Z_{\omega_{j}^{-1}i} \right)$$

$$(6.2)$$

Now α sends the (φ, ψ) -component of (6.1) to the $\psi\varphi$ -component of (6.2) using the injections

$$Y_{\psi^{-1}j} \otimes \bigotimes_{i \in |\psi^{-1}j|} Z_{\varphi^{-1}i} \longrightarrow \sum_{\omega_j : \ \theta^{-1}j \to v_j} Y_{v_j} \otimes \bigotimes_{i \in |v_j|} Z_{\omega_j^{-1}i}$$

of the φ_j^{ψ} -component for each j.

Verification of the five axioms is an exercise in internalizing the arguments already given for the case $\mathcal{V} = \mathbf{Set}$. This gives a skew monoidal category $\operatorname{Coll}_{\mathcal{C}}(\mathcal{V})$.

Similarly, if $F: \mathcal{C} \to \mathcal{D}$ is a strict operadic functor, there is an induced opmonoidal functor $F_!: \operatorname{Coll}_{\mathcal{C}}(\mathcal{V}) \to \operatorname{Coll}_{\mathcal{D}}(\mathcal{V})$, sending the collection $(X_c)_{c \in \mathcal{C}}$ to $(\sum_{Fc=d} X_c)_{d \in \mathcal{D}}$. Unless coproducts in \mathcal{V} happen to be strictly associative, the construction is now only pseudofunctorial, so that $G_!F_! \cong (GF)_!$, but this does not present any great problem.

Once again, a C-operad in \mathcal{V} is a monoid in $\operatorname{Coll}_{\mathcal{C}}(\mathcal{V})$.

7. Another characterization of operadic categories

We observed above that for any functor $F: \mathcal{X} \to \mathcal{C}$ and any object $x \in \mathcal{X}$, there is an induced functor $F/x: \mathcal{X}/x \to \mathcal{C}/Fx$; if \mathcal{X} has a terminal object 1, then $\mathcal{X}/1 \cong \mathcal{X}$, and we shall allow ourselves to write F/1 for the corresponding functor $\mathcal{X} \to \mathcal{C}/F1$. In particular, we could apply this to the functor Coll: **OpCat** \to **SkewMonCat**, using the fact that \mathcal{S} is terminal in **OpCat**.

Theorem 7.1. The functor Coll /1: **OpCat** \rightarrow **SkewMonCat** / Coll_S is fully faithful. An opmonoidal functor $P: \mathcal{E} \rightarrow \text{Coll}_S$ is in the image of Coll /1 if and only if:

- (a) the underlying category of \mathcal{E} is a slice category \mathbf{Set}/C ,
- (b) the underlying functor of P is $p_!: \mathbf{Set}/C \to \mathbf{Set}/\mathbb{N}$ for some $p: C \to \mathbb{N}$ in \mathbf{Set} , and
- (c) the opmonoidal structure map P^2 is cartesian.

Proof. Let C and D be operadic categories, and $P: C \to S$ and $Q: D \to S$ the unique strict operadic functors, and $p: C \to \mathbb{N}$ and $q: D \to \mathbb{N}$ their effect on objects. The underlying categories of $\operatorname{Coll}_{\mathcal{C}}$ and $\operatorname{Coll}_{\mathcal{D}}$ are Set/C and Set/D , and the underlying functors of P and Q are given by $p_{!}: \operatorname{Set}/C \to \operatorname{Set}/\mathbb{N}$ and $q_{!}: \operatorname{Set}/D \to \operatorname{Set}/\mathbb{N}$. Any functor $\operatorname{Set}/C \to \operatorname{Set}/D$ commuting with $p_{!}$ and $q_{!}$ has the form $f_{!}$ for a unique function $f: C \to D$.

We are to show that to extend the assignment on objects $f: C \to D$ to a strict operadic functor $\mathcal{C} \to \mathcal{D}$ is equivalent to giving the functor $f_!: \mathbf{Set}/C \to \mathbf{Set}/D$ opmonoidal structure which is compatible with those on $p_!$ and $q_!$. The nullary part of the opmonoidal structure amounts to a morphism $f^0: f_!(U) \to V$ in \mathbf{Set}/D . Such a map is unique if it exists, and will exist if and only if f maps trivial objects to trivial objects; furthermore, when it does exist the compatibility condition is automatic.

The binary part involves natural maps $F^2: f_!(X * Y) \to f_!(X) * f_!(Y)$, and the compatibility condition says that the diagram

should commute.

We may regard C as an object of \mathbf{Set}/C via the identity morphism $1_C \colon C \to C$. An element of C * C lying over c has the form $(d, \varphi, R\varphi)$, where $\varphi \colon c \to d$ is a morphism in C and $R\varphi$ is the |d|-indexed family consisting of the fibres of φ . The elements of $f_!(C * C)$ are just the same, but now $(d, \varphi, R\varphi)$ is regarded as lying over fc. The elements of $q_!f_!(C * C) = p_!(C * C)$ are still the same, with $(d, \varphi, R\varphi)$ regarded as lying over |fc| = |c|. Finally $P^2 \colon p_!(C * C) \to p_!(C) * p_!(C)$ sends $(d, \varphi, R\varphi)$ to $(d, |\varphi|, R\varphi)$. Thus a map $F^2 \colon f_!(C * C) \to f_!(C) * f_!(C)$ making the relevant instance of the diagram (7.1) commute must be of the form $(d, \varphi, R\varphi) \mapsto (d, F\varphi, R\varphi)$, for some assignment of a morphism $F\varphi \colon fc \to fd$ for every $\varphi \colon c \to d$, with $|F\varphi| = |\varphi|$.

Now let X and Y be arbitrary objects of \mathbf{Set}/C . An element of X * Y lying over c has the form (x, φ, y) , where $\varphi : c \to d$ is a morphism in $\mathcal{C}, x \in X_d$, and y is a |d|-indexed family with $y_i \in Y_{\varphi^{-1}i}$. An element of $f_!(X * Y)$ still has the same form, but now (x, φ, y) is seen as lying over fc; and when we pass to $q_!f_!(X * Y) = p_!(X * Y)$ the elements are still unchanged, but now (x, φ, y) lies over pc = |c|. Finally $P^2 : p_!(X * Y) \to p_!(X) * p_!(Y)$ sends (x, φ, y) to $(x, |\varphi|, y)$. Thus maps $F^2 : f_!(X * Y) \to f_!(X) * f_!(Y)$, natural in X and Y and making the diagram (7.1) commute, must have the form $(x, \varphi, y) \mapsto (x, F\varphi, y)$ for some assignment $(\varphi : c \to d) \mapsto (F\varphi : Fc \to Fd)$.

Thus any opmonoidal functor $\operatorname{Coll}_{\mathcal{C}} \to \operatorname{Coll}_{\mathcal{D}}$ commuting with the induced opmonoidal functors into $\operatorname{Coll}_{\mathcal{S}}$ must arise from some assignment $c \mapsto Fc$ and $(\varphi: c \to d) \mapsto (F\varphi: Fc \to Fd)$ commuting with the functors $P: \mathcal{C} \to \mathcal{S}$ and $Q: \mathcal{D} \to \mathcal{S}$. Just as in the proof of Theorem 5.1, this will define a strict operadic functor F if and only if F^2 and F^0 satisfy the compatibility conditions for an opmonoidal functor.

This completes the proof that Coll/1 is fully faithful. It remains to characterize its image. If $P: \mathcal{E} \to \text{Coll}_{\mathcal{S}}$ is in the image then conditions (a) and (b) hold by definition, while (c) holds since, by Remark 5.2, P^2 is cartesian. Suppose conversely that conditions (a), (b), and (c) all hold. We are given a set C and a function $| : C \to \mathbb{N}$, and we need to try to construct an operadic category \mathcal{C} with object set C.

Define a morphism of \mathcal{C} to be an element φ of C * C. This will sit over some element $c \in C$ which we define to be its domain. The map $P^2: p_!(C * C) \to p_!(C) * p_!(C)$ sends φ to some element $(d, |\varphi|, R\varphi) \in p_!(C) * p_!(C)$, where $d \in C$ and $|\varphi|: |c| \to |d|$ is a function, while $R\varphi$ is a |d|-indexed family with $|(R\varphi)_i| = |\varphi|^{-1}i$. Of course we write $\varphi^{-1}i$ for the *i*-component of $R\varphi$. We define *d* to be the codomain of φ . Thus we have a directed graph \mathcal{C} , with a graph morphism into (the underlying directed graph of) \mathcal{S} sending *c* to |c| and φ to $|\varphi|$.

Now we use the fact that P^2 is cartesian. For objects $X, Y \in \mathbf{Set}/C$ there are unique maps $X \to C$ and $Y \to C$, and now we have a pullback

$$p_!(X * Y) \longrightarrow p_!(X) * p_!(Y)$$

$$\downarrow \qquad \qquad \downarrow$$

$$p_!(C * C) \longrightarrow p_!(C) * p_!(C)$$

in **Set**/N. An element of $p_!(C * C)$ is still just a morphism $\varphi : c \to d$, but now it is seen as living over |c| rather than c. Its image under P^2 is $(d, |\varphi|, R\varphi)$; thus to give an element of $p_!(X) * p_!(Y)$ living over this is to give $x \in X_d$ and a |d|-indexed family y with $y_i \in Y_{\varphi^{-1}i}$. This gives the expected description of X * Y. To be consistent with the description of X * Y, we write $(d, \varphi, R\varphi)$ for the element of C * C identified with φ .

An element of (C * C) * C over c has the form $(e, \psi, R\psi, \varphi, R\varphi)$, where $\varphi : c \to d$ and $\psi : d \to e$. Applying P^2 twice sends this to $(e, |\psi|, R\psi, |\varphi|, R\varphi)$. An element of C * (C * C) over c has the form $(e, \theta, v, \tau, R\tau)$, where $\theta : c \to e$ is a morphism in C and $\tau : R\theta \to v$ is a morphism in $C^{|e|}$. Applying P^2 twice sends this to $(e, |\theta|, v, |\tau|, R\tau)$. Thus to give $\alpha : (C * C) * C \to C * (C * C)$ making the diagram

$$\begin{array}{c|c} p_!((C*C)*C) & \stackrel{P^2}{\longrightarrow} p_!(C*C) * p_!(C) & \stackrel{P^2*1}{\longrightarrow} (p_!C*p_!C) * p_!C \\ p_!(\alpha) & & & & & & \\ p_!(C*(C*C)) & \stackrel{P^2}{\longrightarrow} p_!(C) * p_!(C*C) & \stackrel{P^2*1}{\longrightarrow} p_!C * (p_!C*p_!C) \end{array}$$

commute is equivalent to giving, for each composable pair $(\varphi: c \to d, \psi: d \to e)$ in \mathcal{C} , the following data:

• a morphism $\psi \varphi \colon c \to e$ in \mathcal{C} , with $|\psi \varphi| = |\psi| |\varphi|$;

• a morphism $\varphi^{\psi} \colon R(\psi\varphi) \to R(\psi)$ in $\mathcal{C}^{|e|}$ with $|\varphi^{\psi}| = |\varphi|^{|\psi|}$ and $R(\varphi^{\psi}) = R(\varphi)$.

By naturality, the map $\alpha \colon (X * Y) * Z \to X * (Y * Z)$ sends (x, ψ, y, φ, z) to $(x, \psi\varphi, y, \varphi^{\psi}, z)$.

Write $U_{\mathcal{S}}$ for the unit of $\text{Coll}_{\mathcal{S}}$, consisting of the inclusion $\{1\} \to \mathbb{N}$. The unit of Set/C will be a set U equipped with a morphism $\partial: U \to C$. There can be at most one map $p_! U \to U_{\mathcal{S}}$, and there will exist one if and only if $|\partial(u)| = 1$ for all $u \in U$. An element of U * X has the form (u, φ, x) , where $u \in U$, $\varphi : c \to \partial(u)$, and $x \in X_c$. So to give a natural map $\lambda : U * X \to X$ whose image under $p_!$ is

$$p_!(U * X) \xrightarrow{P^2} p_!(U) * p_!(X) \xrightarrow{P^0 * 1} V * p_!(X) \xrightarrow{\lambda} p_!(X)$$

necessarily has the form $(u, \varphi, x) \mapsto x$.

We now seek a natural map $\rho: X \to X * U$ for which the composite

$$p_! X \xrightarrow{p_! \rho} p_! (X * U) \xrightarrow{P^2} p_! (X) * p_! (U) \xrightarrow{1 * P^0} p_! (X) * U_{\mathcal{S}}$$

$$(7.2)$$

is the ρ for S. To give the component at X = C is to give, for each element $c \in C$, a triple $(\operatorname{cod} 1_c, 1_c, u(c))$, where $1_c: c \to \operatorname{cod} 1_c$ and u(c) is a $|\operatorname{cod} 1_c|$ -indexed family of elements of U. This will satisfy the condition (7.2) when $\operatorname{cod} 1_c = c$. More generally, if $\rho: X \to X * U$ is to be natural and satisfy the condition then it must send $x \in X$ to $(x, 1_{\partial(x)}, u(\partial(x)))$.

Thus we now have all the data for an operadic category, and we have checked that this induces the skew monoidal structure in the desired way. What remains to be checked are:

- (i) $\partial: U \to C$ is injective
- (ii) associativity laws for C
- (iii) identity laws for \mathcal{C}
- (iv) functoriality of R
- (v) $R_{\partial(u)} = \text{dom if } u \in U$
- (vi) the double slice condition for R.

All but the first of these follow as in Section 3, where we checked the axioms for the skew monoidal category $\text{Coll}_{\mathcal{C}}$, since in each case we proved the equivalence of the axiom with some subset of the conditions above. As for (i), we may use the (λ, ρ) -compatibility condition which says that the composite

$$U \xrightarrow{\rho} U * U \xrightarrow{\lambda} U$$

is the identity. But for $v \in U$ we have $\rho(v) = (\partial(v), 1_{\partial(v)}, u(\partial(v)))$, and λ sends this to $u(\partial(v))$. Now $u(\partial(v))$ depends only on $\partial(v)$; thus if it is to be equal to v, then ∂ must indeed be injective, giving (i).

Remark 7.2. Let C be an operadic category for which |c| = 1 for all $c \in C$. Then the operadic structure consists of a functor $R: C/c \to C$ for each $c \in C$. The functor $\operatorname{Set}/C \times \operatorname{Set}/C \to \operatorname{Set}/C$ preserves connected limits, and so corresponds to a span m from $C \times C$ to C (with vertex C * C). Similarly the unit $U \to C$ can be seen as a span i from 1 to C. Furthermore, the structure maps α, λ , and ρ can be seen as morphisms of spans

and the axioms say that these define a skew monoidale (internal skew monoidal structure) in the monoidal bicategory **Span**. These observations allow us to recover from Theorem 7.1 the characterization of skew monoidales in **Span** given in [1, Chapter 3].

It is also possible to characterize $\text{Coll}_{\mathcal{C}}$ in terms of **Span** for a general operadic category \mathcal{C} ; this involves using \mathcal{S} to define a skew monoidal bicategory structure on **Span**^{\mathbb{N}}, then considering skew monoidales in this skew monoidal bicategory.

8. Fibrewise trivial morphisms

Let \mathcal{C} be a generalized operadic category. Recall that $\varphi \colon c \to d$ is said to be *fibrewise trivial* when all fibres of φ are in U. By assumption, every identity morphism is fibrewise trivial; we shall see in Lemma 8.2 that the fibrewise trivial morphisms are closed under composition, and so there is a category \mathbb{C} consisting of all objects of \mathcal{C} and fibrewise morphisms between them. By extension, we define a morphism π in \mathcal{C}/e to be fibrewise trivial if dom (π) is fibrewise trivial in \mathcal{C} ; and we define a morphism π in $\mathcal{C}^{|e|}$ to be fibrewise trivial if π_i is fibrewise trivial in \mathcal{C} for all $i \in |e|$.

Since $|\pi^{-1}i| = |\pi|^{-1}i$, if π is fibrewise trivial then so is $|\pi|$.

Lemma 8.1. $R: \mathcal{C}/e \to \mathcal{C}^{|e|}$ preserves fibrewise triviality.

Proof. Let $\varphi : (\psi\varphi : c \to e) \to (\psi : d \to e)$ be fibrewise trivial in \mathcal{C}/e , so that $\varphi : c \to d$ is fibrewise trivial in \mathcal{C} . We are to show that $\varphi_j^{\psi} : (\psi\varphi)^{-1}j \to \psi^{-1}j$ is fibrewise trivial for each $j \in |e|$. But each fibre $(\varphi_j^{\psi})^{-1}i$ of φ_j^{ψ} is just a fibre $\varphi^{-1}i$ of φ , thus trivial.

Lemma 8.2. If $\pi: d \to e$ is fibrewise trivial, then a morphism $\varphi: c \to d$ is fibrewise trivial if and only if $\pi \varphi$ is so.

Proof. For each $j \in |e|$ we have a morphism

$$(\pi\varphi)^{-1}j \xrightarrow{\varphi_j^{\pi}} \pi^{-1}j$$

and $(\varphi_i^{\pi})^{-1}i = \varphi^{-1}i$ for all $i \in |\pi^{-1}j|$.

Since π is fibrewise trivial, there is a unique $i \in |\pi^{-1}j|$, and since the codomain $\pi^{-1}j$ of φ_j^{π} is trivial, the fibres of φ_j^{π} are trivial.

Combining these two facts, we see that $(\pi \varphi)^{-1}(|\pi|i) = \varphi^{-1}i$ for all $i \in |d|$, and so that $\pi \varphi$ has trivial fibres if and only if φ does so.

In particular, the fibrewise trivial morphisms form a subcategory of C, which I'll call \mathbb{C} . Furthermore, the inclusion reflects isomorphisms: any isomorphism in C which is fibrewise trivial also has fibrewise trivial inverse. On the other hand, the inclusion need not be full on isomorphisms: there can be isomorphisms in C which are not fibrewise trivial.

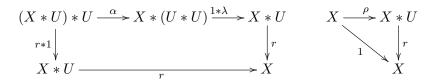
Example 8.3. If C is the operadic category \mathcal{P} for plain operads, then the only fibrewise trivial morphisms are the identities and so the category \mathbb{C} is still just the discrete category \mathbb{N} .

Example 8.4. If C is the operadic category S for symmetric operads, then the fibrewise trivial morphisms are the bijections, and so \mathbb{C} is the category \mathbb{P} of finite sets and bijections.

Example 8.5. If C is a category A, seen as an operadic category with |a| = 0 for all a, then all morphisms are fibrewise trivial, and so \mathbb{C} is just A once again.

9. Normalization

If $\mathcal{E} = (\mathcal{E}, *, U)$ is a skew monoidal category which has coequalizers of reflexive pairs, and tensoring on the right with any object preserves these coequalizers, then there is a way [10] to associate a right-normal skew monoidal category \mathcal{E}^U with the same category of monoids as \mathcal{E} , which we now recall. The unit object U of a skew monoidal category has a monoid structure with multiplication $\lambda: U * U \to U$ and unit $1: U \to U$. We may define a right U-module to be an object $X \in \mathcal{E}$ equipped with a map $r_X: X * U \to X$, or just r, called the action and making the diagrams



commute. A homomorphism of U-modules is a morphism between the underlying objects which commutes in the obvious sense with the actions. These U-modules and their homomorphisms form the category \mathcal{C}^U .

In fact every object X of C has a canonical left action $\lambda: U * X \to X$, preserved by any morphism, and "compatible" with any right action, so that \mathcal{C}^U can also be thought of as the category of bimodules over U. This suggests that there should be a tensor product on \mathcal{C}^U defined as for bimodules using a coequalizer, and this is indeed the case. Explicitly, the tensor product of U-modules (X, r_X) and (Y, r_Y) is given by the coequalizer

$$(X * U) * Y \xrightarrow{r_X * 1} X * Y \xrightarrow{p} X \land Y$$

in \mathcal{C} , and this becomes a U-module via a map $r: (X \wedge Y) * U \to X \wedge Y$ which is uniquely determined by commutativity of

The forgetful functor $\mathcal{C}^U \to \mathcal{C}$ becomes opmonoidal via the maps $p: X * Y \to X \land Y$. See [10] for further details.

We shall apply this in the case $\mathcal{E} = \operatorname{Coll}_{\mathcal{C}}$ for an operadic category \mathcal{C} . As a category $\operatorname{Coll}_{\mathcal{C}}$ is just Set/C which is of course cocomplete; and tensoring on the right with an object is cocontinuous, so we can form $\operatorname{Coll}_{\mathcal{C}}^{U}$.

Proposition 9.1. The category $\operatorname{Coll}^U_{\mathcal{C}}$ is equivalent to the category of presheaves on \mathbb{C} .

Proof. We use the fact that $\mathbf{Set}/C \simeq [C, \mathbf{Set}]$, and show that a U-action on $X \to C$ turns the corresponding $X: C \to \mathbf{Set}: c \mapsto X_c$ into a presheaf.

Let X be an object of **Set**/C. An element of $(X * U)_c$ is a triple (x, φ, u) , where $\varphi : c \to d$ is a morphism in $\mathcal{C}, x \in X_d$, and u is a |d|-indexed family with $u_j \in U_{\varphi^{-1}j}$. But there is at most one element in $U_{\varphi^{-1}j}$, and there will be one if and only if $\varphi^{-1}j$ is trivial. Thus in fact an element of $(X * U)_c$ amounts to a fibrewise trivial morphism $\varphi : c \to d$ and an element $x \in X_d$.

Thus for any X in \mathbf{Set}/C , to give a morphism $X * U \to X$ is to give, for each $\pi : c \to d$ in \mathbb{C} and each $x \in X_d$, an element $x\pi \in X_c$. The associativity and unit conditions for a U-module say that $(x\pi)\sigma = x(\pi\sigma)$ and $x1_d = x$. Thus a U-module is precisely a presheaf on \mathbb{C} ; furthermore, the condition for a map $X \to Y$ to preserve the action is precisely naturality. \Box **Proposition 9.2.** U has a unique U-module structure, and this is the unit for $\operatorname{Coll}_{\mathcal{C}}^U$. The tensor product $X \wedge Y$ of U-modules X and Y is the quotient of X * Y by the equivalence relation generated by

$$(x, \pi\varphi, y) \sim (x\pi, \varphi, y_{\pi})$$

where $\varphi: c \to d'$ is in \mathcal{C} and $\pi: d' \to d$ is in \mathbb{C} , where $x \in X_d$ and $y = (y_i \in Y_{(\pi\varphi)^{-1}i})_{i \in |d|}$, and where y_{π} is the |d'|-indexed family with $(y_{\pi})_{i'} = y_{\pi i'}$. If $\sigma: c' \to c$ is in \mathbb{C} , then

$$[x,\varphi,y]\sigma = [x,\varphi\sigma,y\sigma]$$

where $\varphi: c \to d$, $x \in X_d$, and $y = (y_i \in Y_{\varphi^{-1}i})_{i \in |d|}$; and where $y\sigma$ is the |d|-indexed family with $(y\sigma)_i = y_i \sigma_i^{\varphi}$.

Proof. This is essentially all true by construction. We just point out that $[x, \varphi, y]$ denotes the equivalence class of (x, φ, y) , and that in the last part, σ_i^{φ} is fibrewise trivial because σ is so, thus the presheaf structure of Y allows us to form $y_i \sigma_i^{\varphi}$.

Example 9.3. If C is the operadic category \mathcal{P} for plain operads, then $\mathbb{C} = C = \mathbb{N}$; in this case $\operatorname{Coll}_{\mathcal{P}}$ is the usual monoidal category $[\mathbb{N}, \mathbf{Set}]$ of plain collections, and in particular is already right normal; thus $\operatorname{Coll}_{\mathcal{P}}^U = \operatorname{Coll}_{\mathcal{P}}$.

Example 9.4. If C is the operadic category S for symmetric operads, then \mathbb{C} is the category \mathbb{P} of finite sets and bijections. Furthermore $\operatorname{Coll}_{S}^{U}$ is the usual monoidal category of collections $[\mathbb{P}, \mathbf{Set}]$.

Example 9.5. If \mathcal{C} is a category \mathcal{A} , seen as an operadic category with |a| = 0 for all a, then all morphisms are fibrewise trivial, and so \mathbb{C} is just \mathcal{A} once again. An element of X * Y consists of a $\varphi \colon c \to d$ in \mathcal{A} , and an element $x \in X_d$; we write such an element as (x, φ) . An element of $X \wedge Y$ (for any Y) is an equivalence class $[x, \varphi]$ of the equivalence relation on X * Y generated by $(x, \psi \varphi) \sim (x\psi, \varphi)$. Clearly $(x, \varphi) \sim (x', \varphi')$ if and only if $x\varphi = x'\varphi'$, thus each $X \wedge Y$ is canonically isomorphic to X itself.

The general theory guarantees that $\operatorname{Coll}_{\mathcal{C}}^U$ is right normal; we now investigate when it is left normal and when it is Hopf. For the first of these there is an easy necessary and sufficient condition. Recall that a functor $F: \mathcal{A} \to \mathcal{B}$ and an object $B \in \mathcal{B}$, there is a category B/F whose objects are objects $A \in \mathcal{A}$ equipped with a morphism $\varphi: B \to FA$ in \mathcal{B} , and whose morphisms $(A, \varphi) \to (A', \varphi')$ are morphisms $\psi: A \to A'$ in \mathcal{A} for which $F\psi.\varphi = \varphi'$. Then F is said to be final if B/F is connected for all $B \in \mathcal{B}$.

Proposition 9.6. For an operadic category C, the skew monoidal category $\operatorname{Coll}_{\mathcal{C}}^{U}$ is left normal if and only if the inclusion $\mathbb{C} \to C$ is final. This will always be the case if C is a genuine operadic category in the sense of [4].

Proof. It is true in general that if \mathcal{E} is a left normal skew monoidal category satisfying the conditions for \mathcal{E}^U to exist, then \mathcal{E}^U will also be left normal [10]. This implies the second sentence, but we shall also see this on our way to proving the first.

An element of $(U * X)_c$ consists of a morphism $\varphi : c \to u$ in \mathcal{C} with u trivial, and an element $x \in X_c$. Then λ maps the pair (φ, x) to x. Of course this is invertible (for all X) if and only if, for each $c \in C$ there is a unique map to some trivial object (in other words \mathcal{C} is a genuine operadic category).

On the other hand $U \wedge X \to X$ will be invertible for all X when

- for each $c \in \mathcal{C}$ there exists a morphism $c \to u$ with u trivial
- for each $c \in C$, any two morphisms to a trivial object are equivalent under the equivalence relation $\varphi \sim \pi \varphi$, where π is a morphism in \mathbb{C} between trivial objects.

But this says precisely that the comma category is connected.

Next we turn to the Hopf condition (invertibility of α). In this case we give a sufficient condition only. This condition is a bit more complicated to state, and so to motivate it we start with the following definition of weak right adjoint. The word "weak" refers to a universal property which has been weakened to involve only existence rather than uniqueness. There is more than one notion which might reasonably be given this name, but this is the one which is most useful in this paper.

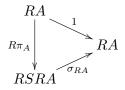
Definition 9.7. Let $R: \mathcal{A} \to \mathcal{B}$ be a functor. A *weak right adjoint to* R consists of the following:

- (i) for each object $B \in \mathcal{B}$ an object $SB \in \mathcal{A}$ and a morphism $\sigma_B \colon RSB \to B$ in \mathcal{B}
- (ii) for each object $A \in \mathcal{A}$ and each $\tau \colon RA \to B$ in \mathcal{B} , a morphism $\pi \colon A \to SB$ for which the composite

$$RA \xrightarrow{R\pi} RSB \xrightarrow{\sigma_B} B$$

is equal to τ .

The σ_B will be called the (components of the) counit. In particular, for every $A \in \mathcal{A}$ there is a morphism $\pi_A \colon A \to SRA$ making the diagram



commute. This might be called "the unit", although it is not uniquely determined by the remaining data.

We have seen that a functor $F: \mathcal{A} \to \mathcal{B}$ induces a functor $F/a: \mathcal{A}/a \to \mathcal{B}/Fa$ for any object $a \in \mathcal{A}$. Similarly it induces a functor $a/F: a/\mathcal{A} \to Fa/\mathcal{B}$.

Proposition 9.8. Let C be an operadic category for which θ/R has a weak right adjoint S_{θ} for each $\theta: c \to e$, and for which the components of the counits of these weak right adjoints are fibrewise trivial. Then the induced skew monoidal structure on $[\mathbb{C}^{\text{op}}, \mathbf{Set}]$ is Hopf (it has an invertible associativity map).

Proof. An element of (X * Y) * Z has the form (x, ψ, y, φ, z) where $\varphi : c \to d, \psi : d \to e, x \in X_e,$ $y = (y_j \in Y_{\psi^{-1}j})_{j \in [e]}, z = (z_i \in Z_{\varphi^{-1}i})_{i \in [d]}$. This has an action of \mathbb{C} where for $\pi : c' \to c$

$$(x, \psi, y, \varphi, z)\pi = (x, \psi, y, \varphi\pi, z(\pi^{\varphi})).$$

We obtain $(X \wedge Y) \wedge Z$ from (X * Y) * Z by factoring out by the equivalence relation generated by

$$(x,\sigma\psi,y,\pi\varphi,z)\sim(x\sigma,\psi\pi,y_{\sigma}(\pi^{\psi}),\varphi,z_{\pi})$$

where

$$c \xrightarrow{\varphi} d' \xrightarrow{\pi} d \xrightarrow{\psi} e' \xrightarrow{\sigma} e$$

with π and σ in \mathbb{C} ; where $x \in X_e$, $y = (y_j \in Y_{(\sigma\psi)^{-1}j})_{j \in |e|}$, and where $z = (z_i \in Z_{(\pi\varphi)^{-1}i})_{i \in |d|}$.

An element of X * (Y * Z) has the form $(x, \theta, (y, \omega, z))$, where $\theta : c \to f$ and $x \in X_f$; where $y = (y_j \in Y_{\theta^{-1}j})_{j \in |f|}$ and $\omega = (\omega_j : \theta^{-1}j \to v_j)_{j \in |e|}$, and where $z = (z_{i,j} \in Z_{\omega_j^{-1}i})_{j \in |e|,i \in |v_j|}$. We obtain $X \land (Y \land Z)$ by factoring out by the equivalence relation generated by

$$(x, \sigma\theta, (y, \tau\omega, z)) \sim (x\sigma, \theta, (y_{\sigma}\tau_{\sigma}, \omega_{\sigma}, z_{\sigma,\tau_{\sigma}}))$$

where

$$c \xrightarrow{\theta} f' \xrightarrow{\sigma} f \qquad \theta^{-1}j \xrightarrow{\omega_j} v'_j \xrightarrow{\tau_j} v_j$$

with σ and the τ_j in \mathbb{C} ; where $x \in X_f$, $y = (y_j \in Y_{(\sigma\theta)^{-1}j})_{j \in |f|}$, and where $z = (z_{j,i} \in Z_{(\tau_j\omega_j)^{-1}i})_{j \in |f|, i \in |v_j|}$. Here $(y_\sigma)_{j'} = y_{|\sigma|j'}, (\tau_\sigma)_{j'} = \tau_{|\sigma|j'}$, and $(z_{\sigma,\tau_\sigma})_{j',i'} = z_{|\sigma|j',|\tau_{|\sigma|j'}|i'}$.

Of course α sends the equivalence class $[x, \psi, y, \varphi, z]$ to $[x, \psi\varphi, [y, \varphi^{\psi}, z]]$.

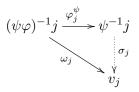
Let $\theta: c \to e$ in \mathcal{C} and $\omega: R(\theta) \to v$ in $\mathcal{C}^{|e|}$ be given. By assumption, $\theta/R: \theta/\mathcal{C}/e \to R\theta/\mathcal{C}^{|e|}$ has a weak right adjoint S_{θ} . Applying this to ω gives a factorization

$$c \xrightarrow{\varphi} d \xrightarrow{\psi} e$$

of θ . The counit gives a factorization

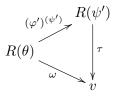


or, in terms of components,

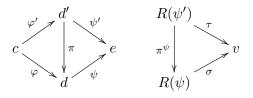


for each j, with these σ_j lying in \mathbb{C} .

The (weak) universal property guarantees that for any other factorization $\theta = \psi' \varphi'$, equipped with a morphism τ as in



there is a morphism π for which the diagrams



commute.

In fact we only need π to exist in the case where the components of τ are fibrewise-trivial. We do need, however, that in that case the components of π will also be fibrewise-trivial. To see that that is true, observe that since σ is fibrewise-trivial, we know by Lemma 8.2 that τ is fibrewise-trivial if and only if π^{ψ} is so. But $(\pi^{\psi})^{-1}i = \pi^{-1}i$, and so π^{ψ} is fibrewise-trivial if and only if π is so. In other words, the adjointness respects fibrewise-triviality.

Now consider $(x, \theta, (y, \omega, z)) \in X * (Y * Z)$, with $\theta : c \to e$ and $\omega : R\theta \to v$. For each j we have $y_j \in v_j$, and so a $y_j \sigma_j \in Y_{\psi^{-1}j}$. Writing $y\sigma$ for the family $(y_j \sigma_j)_{j \in |f|}$, we get an object $(x, \psi, y\sigma) \in X * Y$.

For each $j \in |f|$ and each $i \in |v_j|$ we have a $z_i \in Z_{\omega_j^{-1}i}$. If now $i \in |\psi^{-1}j|$, we have $\varphi^{-1}i = (\varphi^{\psi})_j^{-1}i = \omega_j^{-1}(|\sigma_j|i)$, and so we obtain a |d|-indexed family z_{σ} with $(z_{\sigma})i = z_{j,|\sigma_j|i}$, where $j = |\psi|i$. Thus in fact we have $(x, \psi, y\sigma, \varphi, z_{\sigma}) \in (X * Y) * Z$. This defines a function

$$X * (Y * Z) \xrightarrow{\alpha'} (X * Y) * Z$$
$$(x, \theta, (y, \omega, z)) \longmapsto (x, \psi, y\sigma, \varphi, z_{\sigma}).$$

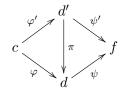
Now

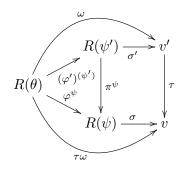
$$\begin{aligned} \alpha(\alpha'(x,\theta,(y,\omega,z)) &= \alpha(x,\psi,y\sigma,\varphi,z_{\sigma}) \\ &= (x,\psi\varphi,(y\sigma,\varphi^{\psi},z_{\sigma})) \\ &\sim (x,\psi\varphi,(y,\sigma\varphi^{\psi},z)) \\ &= (x,\psi\varphi,(y,\omega,z)) \end{aligned}$$

and so $\alpha \colon (X \land Y) \land Z \to X \land (Y \land Z)$ is surjective.

We know that α passes to the quotient in full generality, but we need to see what happens to α' . Suppose then that we have two elements $(x, \theta, (y, \tau \omega, z))$ and $(x, \theta, (y\tau, \omega, z_{1,\tau}))$ of X * (Y * Z) which are related by τ . Applying the right adjoint to







gives

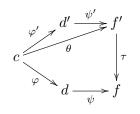
and now we have

Now

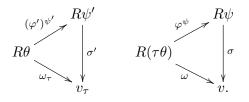
$$\begin{aligned} \alpha'(x,\theta,(y,\tau\omega,z)) &= (x,\psi,y\sigma,\varphi,z_{\sigma}) \\ &= (x,\psi,y\sigma,\pi\varphi',z_{\sigma}) \\ &\sim (x,\psi\pi,y\sigma\pi^{\psi},\varphi',z_{\sigma\pi^{\psi}}) \\ &= (x,\psi',y\tau\sigma',\varphi',z_{\tau\sigma'}) \\ &= \alpha'(x,\theta,(y\tau,\omega,z_{(1,\tau)})) \end{aligned}$$

as desired. Next we compare $\alpha'(x, \tau\theta, (y, \omega, z))$ and $\alpha'(x\tau, \theta, (y_{\tau}, \omega_{\tau}, z_{1,\tau}))$.

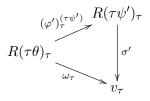
Applying the right adjoint for $\tau\theta$ to $\omega \colon R(\tau\theta) \to v$ gives a factorization (φ, ψ) , while applying the right adjoint for θ to $\omega_{\tau} \colon R(\theta) = R(\tau\theta)_{\tau} \to v_{\tau}$ gives a factorization (φ', ψ') , as in



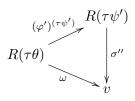
and now the counits give



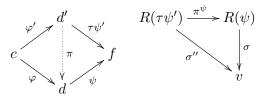
The first of these can equally be seen as having the form



and so determines a unique



where $\sigma_{\tau}^{\prime\prime} = \sigma^{\prime}$, and now by the "universal property of (φ, ψ) " there is a fibrewise-trivial π making the diagrams



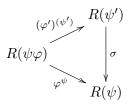
commute, and now

$$\alpha'(x,\tau\theta,(y,\omega,z)) = (x,\psi,y\sigma,\varphi,z_{\sigma})$$

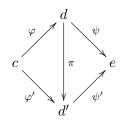
= $(x,\psi,y\sigma,\pi\varphi',z_{\sigma})$
 $\sim (x,\psi\pi,y\sigma\pi^{\psi},\varphi',z_{\sigma\pi^{\psi}})$
= $(x,\tau\psi',y\sigma'',\varphi',z_{\sigma''})$
 $\sim (x\tau,\psi',y_{\tau}\sigma',\varphi',z_{\sigma''})$
= $\alpha'(x\tau,\theta,(y_{\tau},\omega_{\tau},z_{1,\tau}))$

and so α' is indeed well-defined as a map $X \wedge (Y \wedge Z) \to (X \wedge Y) \wedge Z$.

Finally, it remains to show that at the level of the quotients, $\alpha' \alpha$ is the identity. Given $\varphi: c \to d$ and $\psi: d \to e$, we may form $\psi \varphi: c \to e$ and $\varphi^{\psi}: R(\psi \varphi) \to R(\psi)$, and now the right adjoint gives another factorization (φ', ψ') and the counit has the form



and the unit π has the form



and one of the triangle equations says that the composite

$$R(\psi) = R(\psi'\pi) \xrightarrow{\pi^{\psi'}} R(\psi') \xrightarrow{\sigma} R(\psi)$$

is the identity. Now

$$\begin{aligned} \alpha'(\alpha(x,\psi,y,\varphi,z)) &= \alpha'(x,\psi\varphi,(y,\varphi^{\psi},z)) \\ &= (x,\psi',y\sigma,\varphi',z_{\sigma}) \\ &= (x,\psi',y\sigma,\pi\varphi,z_{\sigma}) \\ &\sim (x,\psi'\pi,y\sigma\pi^{\psi'},\varphi,z_{\sigma\pi^{\psi'}}) \\ &= (x,\psi,y,\varphi,z) \end{aligned}$$

as required.

As observed in the proof of the proposition, we do not actually need the full strength of the universal property of a weak right adjoint. We record this observation as the following proposition.

Proposition 9.9. Let C be an operadic category. The induced skew monoidal structure on $[\mathbb{C}^{\text{op}}, \mathbf{Set}]$ will be Hopf provided that the following conditions are satisfied:

• for each $\theta: c \to e$ and each fibrewise trivial $\omega: R(\theta) \to v$ there is a factorization

$$c \xrightarrow{\varphi} d \xrightarrow{\psi} e$$

of θ , and a factorization

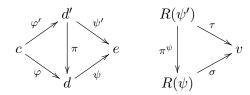
$$R\theta \xrightarrow{\varphi^{\psi}} R\psi \xrightarrow{\sigma} v$$

of ω , with σ fibrewise trivial;

• *if*

$$c \xrightarrow{\varphi'} d' \xrightarrow{\psi'} e$$
$$R(\theta) \xrightarrow{(\varphi')(\psi')} R(\psi') \xrightarrow{\tau} v$$

are also factorizations of θ and ω , then there is a morphism $\pi: d' \to d$ making the diagrams



commute.

10. Examples

We have already discussed the basic examples \mathcal{S} (for symmetric operads) and \mathcal{P} (for plain operads). In the case of \mathcal{P} , the skew monoidal category $\operatorname{Coll}_{\mathcal{P}}$ is already monoidal, and in fact the R functors are isomorphisms, thus so too are the θ/R . In the case of \mathcal{S} , the R functors are surjective equivalences, thus so too are the θ/R , and so $\operatorname{Coll}_{\mathcal{S}}^U$ is monoidal; indeed it is equivalent to the monoidal category $\operatorname{Coll}(\operatorname{Set}) = [\mathbb{P}, \operatorname{Set}].$

In the case of a category \mathcal{A} regarded as an operadic category with |a| = 0 for all $a \in \mathcal{A}$, the R-functors have the form $\mathcal{A}/a \to 1$. These have right adjoints with identity counit, thus the same is true of the θ/R , and so $\operatorname{Coll}_{\mathcal{A}}^U$ does have invertible associativity map as well as right unit map. But the left unit map λ is not invertible unless \mathcal{A} is empty (in which case $\operatorname{Coll}_{\mathcal{A}}^U$ is just the terminal category with its unique monoidal structure.

If, as in [4], we adjoin a terminal object to \mathcal{A} and make this trivial, the resulting operadic category \mathcal{A}_1 will have $R_a: \mathcal{A}/a \to \mathcal{A}^{|a|}$ exactly as for \mathcal{A} if $a \in \mathcal{A}$, while R_1 is the identity. Thus $\operatorname{Coll}_{\mathcal{A}_1}^U$ is in fact monoidal. In particular, if \mathcal{A} is empty, then \mathcal{A}_1 is the terminal category with its unique operadic structure, and $\operatorname{Coll}_{\mathcal{A}_1}^U$ is **Set**, with the cartesian monoidal structure.

We now turn to some other examples of operadic categories considered in [4].

Example 10.1. Let \mathcal{A} be a skeletal abelian category. Choose a representative for each each quotient. For convenience, suppose that identity morphisms are chosen quotients. Let $\operatorname{Epi}(\mathcal{A})$ be the category whose objects are those of \mathcal{A} , and whose morphisms are chosen quotient maps. Composition is as in \mathcal{A} , but corrected if necessary to give a chosen quotient. Define $\operatorname{Epi}(\mathcal{A}) \to \mathcal{S}$ to be constant at $1 \in \mathcal{S}$. Define $R: \operatorname{Epi}(\mathcal{A})/c \to \operatorname{Epi}(\mathcal{A})$ to pick out the kernel (as an object). Thus $R(r: a \to c) = \ker(r)$, and while if $qp = \theta r$ with p, q, r all chosen quotients, the induced $R(r: p \to q)$ is the restriction $p^q: \ker(r) \to \ker(q)$ of p. This defines a genuine operadic category [4, Example 1.22].

A morphism is fibrewise trivial if and only if it is invertible. In this case the functors $R: \operatorname{Epi}(\mathcal{A})/c \to \operatorname{Epi}(\mathcal{A})$ are opfibrations. They are not discrete, but they do reflect isomorphisms by the short five lemma. Thus the functors θ/R are equivalences, and $\operatorname{Coll}^U_{\operatorname{Epi}(\mathcal{A})}$ is monoidal.

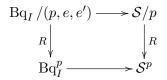
Example 10.2. The genuine operadic category Bq_I of *I*-bouquets was introduced in [4] to deal with coloured operads. Here *I* is a fixed set. An object of Bq_I is called a bouquet, and consists of an object $m \in S$, an *m*-indexed family $c = (c_i)_{i \in m}$ of elements of *I*, and another element $c' \in I$. We write (m, c, c') for such a bouquet. A morphism $(m, c, c') \to (n, d, d')$ can exist only if c' = d', in which case it consists of a morphism $f \colon m \to n$ in S. Thus there is an evident forgetful functor $\operatorname{Bq}_I \to S$ which defines the cardinality functor.

For a morphism $f: (m, c, c') \to (n, d, c')$ and an element $j \in n$, the corresponding fibre is $(f^{-1}j, c|_{f^{-1}j}, d_j)$, where $c|_{f^{-1}j}$ is the $f^{-1}j$ -indexed family with $(c_{f^{-1}j})_i = c_i$.

A bouquet (m, c, c') is trivial if and only if m = 1 and the unique element of c is c' itself. A morphism $f: (m, c, c') \to (n, d, c')$ is fibrewise trivial if and only if f is bijective and $d_{fi} = c_i$ for all $i \in m$. Thus the fibrewise trivial morphisms are strictly contained within the isomorphisms.

Since Bq_I is a genuine operadic category $\operatorname{Coll}_{\operatorname{Bq}_I}^U$ will be monoidal if and only if the associativity map α is invertible. We shall see that this is the case using Proposition 9.8.

Let $(p, e, e') \in \text{Bq}_I$. An object of the slice category $\text{Bq}_I / (p, e, e')$ consists of an object $f: m \to p$ of S/p equipped with an element $c \in I^m$, while a morphism from (m, f, c) to (n, g, d) is a just a morphism $(m, f) \to (n, g)$ in S/p. There is a commutative square



where the horizontal arrows are induced by the cardinality functor, and the upper horizontal is a surjective equivalence. Given an object $(m, f, c) \in \operatorname{Bq}_I/(p, e, e')$, there is induced a further commutative square

$$\begin{array}{c|c} (m,f,c)/(\operatorname{Bq}_{I}/(p,e,e')) \longrightarrow (m,f)/(\mathcal{S}/p) \\ (m,f,c)/R \\ & & & \downarrow^{(m,f)/R} \\ Rf/\operatorname{Bq}_{I}^{p} \longrightarrow Rf/\mathcal{S}^{p} \end{array}$$

where both horizontal arrows are surjective equivalences and the right vertical is an equivalence. Thus the left vertical is also an equivalence, and a straightforward calculation shows that the counit can be chosen to be fibrewise trivial.

Thus $\operatorname{Coll}_{\operatorname{Bq}_I}^U$ is a monoidal category; in fact it is the usual category of collections for symmetric coloured operads (symmetric multicategories).

Example 10.3. Another example given in [4] is the operadic category Ω_2 of 2-trees.

An object of Ω_2 is an order-preserving morphism $\partial: p_2 \to p_1$ between finite ordinals (in other words, a morphism in \mathcal{P}); we denote such an object by p. A morphism $\varphi: m \to p$ is a commutative square



where φ_1 is an order-preserving function, and φ_2 is a function which is order-preserving on the fibres of ∂ ; in other words, if $i, j \in m_2$ with i < j and $\partial i = \partial j$, then $\varphi_2 i \leq \varphi_2 j$.

The cardinality functor sends p to $|p_2|$ (the underlying set of the ordinal p_2 , and sends φ to φ_2 . Then $R: \Omega_2/p \to \Omega_2^{p_2}$ sends $\sigma: m \to p$ to the family $(m_2^i \to m_1^i)$ where $m_2^i = \sigma_2^{-1}i$ and $m_1^i = \sigma_1^{-1}\partial i$.

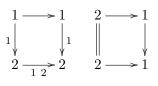
A morphism $\varphi \colon m \to p$ is fibrewise trivial if and only if $\varphi_2 \colon m_2 \to p_2$ is bijective and the square defining φ is a pullback in **Set**.

We shall see that the sufficient condition of Proposition 9.9 is not satisfied. To do this, we identify n with $\{1, \ldots, n\}$, and denote a morphism $n \to m$ by its values. Thus "1 1 4" denotes the function $f: 3 \to 4$ with f(1) = f(2) = 1 and f(3) = 4.

Let θ be the morphism

$$\begin{array}{c}
3 \xrightarrow{1 \ 2 \ 2} \\
1 \ 1 \ 2 \\
 2 \xrightarrow{} 1
\end{array}$$

and let ω_1 and ω_2 be



Suppose that θ factorized as

$$\begin{array}{c|c} 3 \xrightarrow{\varphi} m \xrightarrow{\psi} 2 \\ 1 & 1 & 2 \\ & & & \\ 2 & & & \\ & & &$$

then clearly $i = \pi \varphi 1 = \pi \varphi 2$ and $j = \pi \varphi 3$. If also the ω s factorized as

with the σ_s fibrewise trivial; then in particular σ_2 is bijective so that $\varphi_2 = \varphi_3$ and i = j. But now

$$1 = \sigma_1' i = \sigma_1' j = 2$$

gives a contradiction. Thus the sufficient condition does not hold in this example. It would be interesting to know whether or not $\operatorname{Coll}_{\Omega_2}^U$ is monoidal.

Example 10.4. A similar calculation shows that the operadic category \mathbf{Ord}_2 of 2-ordinals, defined in [4, Example 1.24], does not satisfy the sufficient condition either.

The next, final, example does not come from [4]; rather, it makes a connection with a result of Andrianopoulos [1].

Example 10.5. Let \mathcal{B} be an arbitrary category with object-set B, and define a cardinality functor $\mathcal{B} \to \mathcal{S}$ by |b| = 1 for all b. To give an operadic category structure to \mathcal{B} is to give a functor $R_b \colon \mathcal{B}/b \to \mathcal{B}$ for each $b \in B$, satisfying the various conditions. Now a category \mathcal{B} and

these R_b is precisely the dual of the structure considered in [1, Section 3.3], characterizing what is needed to make B into a skew monoidale (internal skew monoidal structure) in the monoidal bicategory **Span**.

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